

House District 12  
Senate District 7

THE TWENTY-NINTH LEGISLATURE  
APPLICATION FOR GRANTS  
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

Waiohuli Hawaiian Homesteaders Association, Inc.  
(WHHA)

Dbas:

Street Address: P.O. Box 698

Mailing Address: Kula, Hawaii 96790-0698

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name PERRY O. ARTATES

Title President

Phone # 808-357-0831

Fax # \_\_\_\_\_

E-mail perryartates@gmail.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII  
 FOR PROFIT CORPORATION INCORPORATED IN HAWAII  
 LIMITED LIABILITY COMPANY  
 SOLE PROPRIETORSHIP/INDIVIDUAL  
 OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

REQUESTING GRANT IN AID FUNDING FOR THE PLANNING, DESIGN AND CONSTRUCTION OF IMPROVEMENTS TO WHHA'S COMMUNITY CENTER AND PARK FACILITIES THAT WILL SOLIDIFY ECONOMIC INITIATIVES FOR HOMESTEAD AND SURROUNDING COMMUNITIES IN KULA, MAUI, HAWAII.

4. FEDERAL TAX ID #: \_\_\_\_\_

5. STATE TAX ID #: \_\_\_\_\_

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2018: \$ 1,500,000.00

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)  
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 0  
FEDERAL \$ 0  
COUNTY \$ 0  
PRIVATE/OTHER \$ 0

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

PERRY O. ARTATES, PRESIDENT  
NAME & TITLE

1-16-17  
DATE SIGNED



RECEIVED  
1/20/17

## Application for Grants

### I. Background and Summary

#### 1. A brief description of the applicant's background.

The history of the Waiohuli Hawaiian Homesteaders Association, Inc. (WHHA) goes back to 1986 when the original lease awards were given by the Department of Hawaiian Home Lands (DHHL) under its accelerated program. At that time, the initial new homesteaders got together to begin discussing their community and its future.

In 1999, lessees created the Waiohuli Hawaiian Homesteaders Association as a nonprofit incorporated in the State of Hawai'i to serve residents in the Kula Residence Lots subdivision (Units 1 and 2). It received a federal 501(c)(3) tax exemption designation in 2005. The WHHA was organized and established to . . . “unite under the mana of all Hawai'i Nei, to protect, preserve, and defend the Hawaiian Homes Land Trust as defined in the Hawaiian Homes commission Act of 1920, as amended, and combine our efforts for the rehabilitation and betterment of all Native Hawaiian Homeland beneficiaries.” Waiohuli residents believe that . . . “Waiohuli, our ‘aina, is born of pohaku laid upon each other with visions and dreams for our children and generations to come. We are a thriving community that is industrious and grows from a solid foundation of neighbors.”

The work of WHHA is guided by the following values that “reflect the way people in the community treat each other, influence the decisions we make, guide the programs we create, and embody our expectations for the future. In other words, these values represent what we, the Waiohuli homesteaders believe and live by”:

mālama – caring	laulima – cooperative
maka‘ala – vigilant	palekana – safe
ho‘ihi – respectful	ho‘o mo‘olelo – perpetuating our culture
ho‘olawa pono – self-sustaining	‘onipa‘a – steadfast
kupono – honest	ha‘aha‘a – humble

#### 2. The goals and objectives related to the request;

In 2005, the WHHA created a strategic plan for its community. The overarching goals of the Waiohuli Hawaiian Homesteaders Association Strategic Plan include:

- *Prosperity* – to grow wealth and cultural awareness for present and future generations.
- *Responsibility* – to fulfill our civic responsibilities through a sense of pride in self, place, and community.
- *Security* – to be a community where people feel safe and respected.
- *Communication* – to promote open dialogue and sharing of mana‘o among residents.

To achieve these goals, WHHA has worked to create a Community Center and Park that meets these goals. Beginning with a desire to provide a focal point for its growing community, the visionaries in the community began a dialog to listen to residents about their needs and opportunities and how a community center could serve them and beneficiaries yet to arrive. Similar to a *pueo*, the project is intended to look upon the community as a protector of families, provide for the sustainability of the community and offer a gathering place for social interaction, recreation and education.

The community provided input into the overall site design in two charette sessions in 2005 and 2006. Utilizing the goals, objectives and guiding principles established during the planning process, the following major themes guide the establishment of the Community Center and Park:

- *Interconnection with Adjacent Community* – The Community Center and Park will address the needs of the community that cannot be met at home. In doing so, the design of the site must be an extension of the community – it must be rural and Hawaiian.
- *Identify & Merge the “Spiritualness” of the Site & the Values of the Community* – The Community Center and Park must respect the cultural significance of the site including the presence of *pueo*, archaeological resources and site orientation to traditional navigation routes.
- *Visual Connection with the Community* – The site will illuminate the physical relationships within the homestead community that will subtly affect the perception of the landscape. The critical foundation is the feeling of openness and continuation of the mauka to makai slope.

### Waiohuli Community Center

October 2012



The following principles have guided the concept design of the facility:

- The dominant site characteristics are the sloping topography and the expansive views.
- The cultural conditions of the project are the recognition of the past, the requirements of the present, and the promise of the future for the Hawaiian people.
- The ambition for the current design is to respond directly to the physical and cultural conditions with simplicity and sensitivity.

The fundamental design strategy, or concept, is to locate all of the present-day requirements (function hall, meeting rooms, restrooms, preschool, swimming pools, etc.) in a gentle sweeping arc form that is inserted into the slope. It is a concept for the “building as landscape” or “building as retaining wall” that utilizes a “green roof” to obscure the presence of this large facility as viewed from above.

There are two zones, or realms, that result from this strategy. The upper Hawaiian Culture zone would include hale and gardens and promotes all of the historical, educational and cultural activities.

The lower Contemporary Hawaiian Life zone includes the function hall/kitchen, the meeting rooms, the preschool, the activity room, locker rooms, the swimming pools and the recreational fields. This lower zone will also serve as a cost-effective and efficient emergency shelter.

The link between the two zones is provided by two large portals – an amphitheater and a stair/garden. The most southerly portal, the amphitheater, is oriented to the Kealaikahiki channel, reminding the community of the path of their ancestors. The northerly portal provides the link to the recreation fields, swimming pools, parking and the function hall serving as the “front door” of main entrance to the facility. It connects the Contemporary Hawaiian activities and lifestyle to that of our ancestors. By locating the preschool proximate to the Hawaiian Cultural zone, intergenerational activities are encouraged.

The WHHA has focused on developing a Community Center and Park that will accommodate opportunities for events, offices and programs, areas for social and cultural organizations, child care, passive activity and active recreation while incorporating economic sustainability.

To meet these needs, the Community Center and Park include the following venues, which have already been completed, are in the works or pending future financing:

#### COMPLETED VENUES:

- Certified Commercial Kitchen - In 2014, WHHA completed construction of its certified commercial kitchen to prepare food and products for small businesses, private and community functions. It will also include an imu for traditional cultural practitioners.

- Passive Recreation and Agriculture – The initial open areas have been established. An open space for walking and initial community garden has been set up.
- Spiritual Context-Hawaiian Cultural Zone - A “Polynesian” hale has been completed and serves as the focal point for cultural exchange. Constructed by multiple Pacific Island ethnicities, the “hale” has already hosted cultural awareness classes, hula halau collaboration, and is the entry point for the site’s major archeological site.
- Amphitheater – The outdoors, natural-terrain amphitheater has been completed. This venue will allow for fee-based performances as well as cultural events.

#### VENUES IN THE WORKS:

- Active Recreation Field – An area for sports and seating for up to 200 spectators.
- Ancillary Spaces. This includes additional public bathrooms.

#### FUTURE VENUES:

- A larger, self-contained kitchen will support community activities.
- Ancillary Spaces. This includes administrative offices meeting rooms and additional public bathrooms.
- Preschool. A minimum of 10,000 square feet is set-aside for this purpose.
- Function Hall. A hall to accommodate up to 600 people with a stage area. The Function Hall can be subdivided to provide multiple spaces. The hall can serve as a temporary disaster shelter.
- Recreation and Activity Spaces. Indoor and outdoor facilities that encourage intergenerational activities for families. This will include a 25-meter swimming pool with a separate keiki pool.

### **3. The public purpose and need to be served;**

Waiohuli Homestead is located on DHHL’s Kula lands of 6,112 acres on the slopes of Haleakala. There are three homestead areas under development: (1) the Kula Residence Lots sub-division; (2) the Waiohuli Undivided Interest subdivision; and (3) the Keokea Farm Lots. Together, these areas total about 800 homestead lots. DHHL has long-range conceptual plans for about 1,100 more residential lots in the area below the current developments.

The June 2010 *Keokea-Waiohuli Regional Plan* developed by DHHL incorporated input from homestead residents over several meetings. At the conclusion of these community

meetings, construction of a Community Center to address the needs of a growing population was one of the top priorities.

The Community Center will address a multitude of recreation, economic development, cultural and social needs of the Waiohuli/Keokea homesteads and the greater Kula community by providing facilities and programs to meet these needs.

**4. Describe the target population to be served.**

Waiohuli Homestead is located on DHHL's Kula lands of 6,112 acres on the slopes of Haleakala offering tremendous opportunities for homesteading, farming and commercial programs.

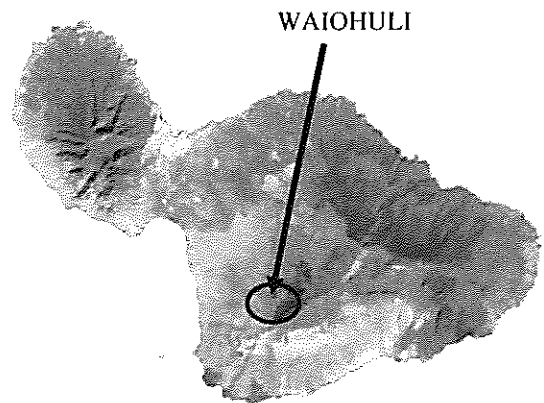
DHHL continues to develop three primary homestead area: (1) the Kula Residence Lots sub-division; (2) the Waiohuli Undivided Interest subdivision; and (3) the Keokea Farm Lots. Fully developed, this area would provide 800 homestead lots.

The Kula Residence Lots subdivision is located in the northern portion of the Kēōkea-Waiohuli homestead area. The subdivision includes a total of 420 lots developed to Rural Residential half-acre standards. Positioned between the Kula area's Waiakoa and Kēōkea districts, Waiohuli is located in a mixture of agricultural and small country town areas experiencing growth in rural home sites and subdivisions.

The Waiohuli Community Center and Park will serve the residents, farmers and small entrepreneurs within the Kula Community. Based on the 2010 Census, the population of Kula was 6,452. The Waiohuli and Keokea Homestead areas alone are projected to provide over 750 single-family dwellings. Of these, 284 homes have been built and occupied. Additionally, the Land Use Commission recently approved the development of 116 units within the Kula Ridge development at Waiakoa.

**5. Describe the geographic coverage.**

The project is in State Senatorial District 7 and State House of Representatives District 12. It is within the Maui County Community Plan Region 5. The project's location is part of the Kula region and along with the adjacent regions of Makawao and Pukalani is commonly called "Up-Country" Maui. The area is in Federal Census Tract 30301.



Officially identified as Unit I of the Waiohuli Subdivision of the Department of Hawaiian Home Lands Kula Project, the Waiohuli Homestead is a part of a 6,112-acre parcel located on the western slopes of Haleakala on the Island of Maui. This DHHL Kula

Project master planned community is comprised of urban, rural residential and agricultural neighborhoods along with ancillary uses that provide community facilities, which normally support residential and agricultural neighborhoods.

The project site is on property owned by the DHHL and situated West (makai) of Lau‘ie Place in Unit I of the Waiohuli Subdivision of Phase I of the Kula Residential Lots project which are part of the Kēōkea-Waiohuli Homesteads.

## **II. Service Summary and Outcomes**

### **1. Describe the scope of work, tasks and responsibilities:**

The Waiohuli Community Center and Park Master Plan is a multi-use and multi-generational complex consisting of opportunities for events, offices and programs, areas for social and cultural organizations, childcare, passive activity and active recreation while incorporating economic sustainability. While development is “in-progress”, the Community Center and Park complex has also been used as a temporary relief disaster shelter in order to serve not only the homestead but also the greater community of Kula and stranded visitors while in transit between Hana to the rest of Central Maui.

Previous phases include development of the Master Plan in 2005, construction of a 656 square foot certified commercial kitchen with a 984 square foot trellised lanai, a 1,152 square foot Hale Halawai as the central facility for the “cultural zone”, native garden and paved parking. Recent improvements include completion of the amphitheater along with a passive recreation area, which has attracted numerous wedding venues.

This application is a request for \$1.5 million to provide design and construction funding that will further expand and solidify the economic viability of the Community Center and Park. The proposed scope of work includes planning, design, permitting and construction for:

- The expansion of existing events facilities, to include a new, open patio structure fronting the existing certified commercial kitchen area;
- The expansion of the parking area to accommodate the growing number of facility users;
- The establishment of a health and wellness component complimenting the Master Plan, to include native gardening education, use and production and a multi-station fitness trail throughout the park;
- Improving overall site accessibility and safety through planning, design and construction of ADA access to all site features and venues as well as an

extended service lane along the lower perimeter of the park for emergency vehicles;

- The establishment and development of a Community Renewable Energy Initiative, to include a feasibility assessment that incorporates the option for private investment.

Approved funding will pay for architectural drawings, structural design, electrical and civic engineering, landscaping design and general construction. Funding will also pay for the soft costs needed including project management and any required legal services.

As it has successfully done with past funding, WHHA will outsource project management services to facilitate completion of these improvements. Through past experience, WHHA understands its oversight responsibilities of and through project management while minimizing micro-managing that usually cause project delays. WHHA is set up to track and execute all deliverables of an approved grant and able to support all consultants and contractors throughout this next phase to assure the timely expenditure of grant funding and a successful project.

**2. Provide a projected annual timeline for accomplishing the results or outcomes of the service:**

- If funding from this request for State of Hawai'i Grant-In-Aid funding is awarded, during the first six months after the receipt of funding, WHHA will hire project management, who will be responsible for implementation. WHHA will also work with project management in contracting planning, design professionals for the project.
- During the next six months after funding is received, WHHA will work with project management in facilitating permitting, securing contractor(s) for construction and start on the infrastructure and civil work.
- At twelve months after funding is received, infrastructure will be completed and vertical construction ongoing.
- Within fifteen months after the receipt of State of Hawai'i funding, WHHA is capable of completing this phase of improvements.
- Concurrently throughout the fifteen-month period, WHHA will step up revenue-generating opportunities from rentals of the commercial kitchen, amphitheater and wedding venues at the passive recreation area.



Timeline Schematic

0-6 months	7-11 months	12-15 months
Project manager is hired and services contracted for planning and design professionals		
	Facilitate permit approvals and secure contractor(s) for infrastructure and civil work.	
		Infrastructure completed and in place; vertical construction ongoing
		Construction completed

**3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results:**

As it has been successful in the past, the WHHA Board of Directors will continue to use its established practices, policies and procedures for the recruitment, review and selection of all services and contractors hired for this phase of project.

WHHA will hire project management, who will be responsible for meeting the timelines and activities described in this application. The project management’s scope includes the following responsibilities:

- Work with the WHHA Board of Directors to recruit, review and select professionals and contractors to implement this phase of the project.
- Regularly monitor contractor work performance and work quality.
- Manage all project costs and the project budget.
- Identify issues and take corrective action(s) as needed to maintain the integrity of the project and the WHHA.
- Provide regular reports to the Board of Directors.

The WHHA board of directors will oversee the progress and results of the project manager and ensure that activities are conducted in accordance with this application and are utilizing Best Practice Management Standards. Reports to the board of directors by the project manager will be provided on a monthly basis. The board of directors will be responsible for the following:

- Establish and implement policies and procedures for the solicitation and hiring of contractors and vendors.
- Monitor compliance with these policies and procedures.
- Monitor the project budget. Review and approve financial reports and ensure financial records are in place.
- Identify issues and concerns and take corrective action(s) as needed.
- Provide required reports on GIA expenditures to the State’s applicable expending agency.

Reports to the community and members of the WHHA will be provided by the board of directors on a semi-annual basis and describe the benchmarks accomplished and results achieved.

4. **List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. (Please note that if the level of appropriation differs from the amount included in this application that the measures(s) of effectiveness will need to be updated and transmitted to the expending agency.)**

Timeframes	Deliverables
1 – 6 months	<ul style="list-style-type: none"> <li>Hiring and procurement policies and procedures in place.</li> <li>Project management hired; services contracted for planning and design professionals</li> <li>Quarterly reports providing project progress and financial expenditures to date.</li> </ul>
7 – 11 months	<ul style="list-style-type: none"> <li>Facilitate permit approvals and secure contractor(s) for infrastructure and civil work.</li> <li>Quarterly reports providing project progress and financial expenditures to date.</li> </ul>
12 – 18 months	<ul style="list-style-type: none"> <li>Infrastructure completed; vertical construction ongoing</li> <li>Construction completed.</li> <li>Quarterly reports providing project progress and financial expenditures to date.</li> </ul>

### III. Financial

#### Budget

1. **The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.**

See attached Page 5 - Budget

2. **The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2018.**

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$550,000.00	\$427,500.00	\$427,500.00	\$95,000.00	\$1,500,000.00

3. **The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2018.**

WHHA will continue to explore other funding opportunities and submit applications as these opportunities are identified. At this time, there are no other funding resources.

4. **The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.**

WHHA received \$1,500,000.00 from State Grant In-Aid in 2014 (Act 122, SLH 2014), which has enabled the completion of an amphitheater and infrastructure improvements for future phases. These funds are currently being deployed on approved scope of work items and will be fully expended by June 30, 2017. WHHA has not received any other funds within the prior three years. WHHA has no current plans to apply for state or federal tax credits at this time.

5. **The applicant shall provide a listing of all federal, state and county government contracts and grants it has been and will be receiving for program funding.**

WHHA currently does not have or will be receiving any federal, state or county contracts and grants for program funding.

6. **The applicant shall provide the balance of its unrestricted current assets as of December 31, 2016.**

As of December 31, 2016, the Waiohuli Hawaiian Homesteaders Association, Inc. reports \$12,987.74 in unrestricted assets.

#### **IV. Experience and Capability**

##### **A. Necessary Skills and Experience**

The WHHA has been committed to the Waiohuli Community Center and Park Master plan since 2005, having successfully implemented and expending all grant funds received to date. The organization's officers and board of directors includes members with career experience in the construction trades, fire safety, utilities, and financial management. The organization has worked closely with the Planning Office, Land Development and Land Management Divisions of the Department of Hawaiian Home Lands in moving its Master Plan forward.

During the initial years, WHHA utilized Waiohuli resident Doreen "Pua" Canto as project coordinator. She has since been recognized for her community involvement and currently serves as an officer on Maui's Native Hawaiian Business Chamber and is the current Commissioner for Maui County for the

Hawaiian Homes Commission. She continues to serve as a Director with WHHA bringing historical knowledge and credibility to its efforts.

WHHA partnered with Ed Weinstein, a licensed architect, of Weinstein A/U Architects & Urban Designers, located in Seattle, Washington to develop its master plan and incorporate all values and vision expressed throughout the community input phase up to the completion of Phase 1 improvements.

WHHA also utilized and continues to use R.T. Tanaka Engineers, Inc. of Wailuku, Maui for all of its survey, landscape and civil engineering design work.

WHHA has also been directly involved with general contractor, Armstrong Builders, who completed Phase 1 construction. Armstrong Builders in turn has hired several sub contractors, including smaller contractors that reside in Waiohuli. This resulted in a win-win situation and afforded direct community participation in this project.

For the next phase of improvements, WHHA utilized Olona Building Solutions, a Maui based general contractor with roots in Waiohuli and other homestead areas on Maui. As with Armstrong Builders, Olona Building Solutions too hired several smaller contractors that reside in Waiohuli, continuing the win-win synergy of direct community participation in the project.

To support the budgetary and accounting efforts for ALL phases of work, WHHA contracted Levin & Hu CPA's on Maui. WHHA firmly believed that it was necessary to outsource this service in order to sustain professional, third party accounting in handling Phase 1 GIA funding. The results to date have been excellent and will be supportive in any future audits of WHHA.

With first hand participation in planning, design, procurement and construction, and a solid CPA partner, WHHA has gained quality experience to carry over into this next as well as future project phases.

## **B. Facilities**

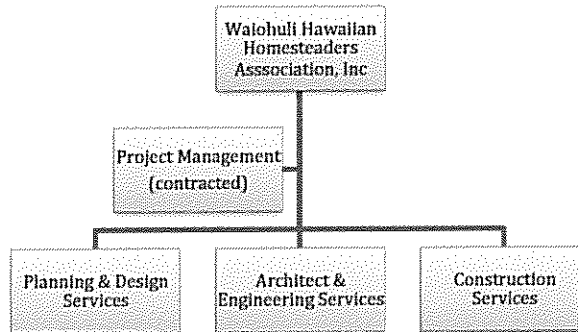
WHHA has established an onsite presence with a modest office set up at the completed certified commercial kitchen. In addition to the 656 s.f. certified commercial kitchen and complimenting 984 s.f. open lanai, current facilities include a 1,152 square foot hale halawai which serves as the central facility for the "cultural zone", a native garden and paved parking along with recent improvements of the amphitheater and passive recreation area, which is attracting numerous wedding venues.

## **V. Personnel: Project Organization and Staffing**

### **A. Proposed Staffing, Staff Qualifications, Supervision and Training**

WHHA will outsource and secure an overall project manager to facilitate all phases of work covered by this GIA application, therefore, there will be no direct project staffing. As it did with Phase 1, WHHA will oversee the work efforts of the project manager through planning, design, procurement and construction, having final approvals and digression on all matters and expenditures.

**B. Organization Chart**



**C. Compensation**

Comparable to industry and related fields, the proposed compensation for project management is estimated at \$80,000. The initial scope of services will include facilitating and managing planning, design, procurement and construction phases, to include progress reporting and budget management. As noted in the Organization Chart, the project manager will report to WHHA.

**VI. Other**

**A. Litigation**

**The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgment. If applicable, please explain.**

The organization has no pending litigation to which it is a party and has no outstanding judgments.

**B. Licensure or Accreditation**

**The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.**

There are no special qualifications, licenses or accreditation required by the WHHA that is relevant to this request. All design, engineering and construction work related to proposed project has been and shall be done by the appropriate licensed and qualified professionals, as applicable.

**C. Private Educational Institutions**

WHHA will NOT use grant funds to support or benefit a sectarian or non-sectarian private educational institution.

**D. Future Sustainability Plan**

WHHA will continue to seek grant opportunities as well as financing options using asset leveraging. WHHA is also positioning itself for New Market Tax Credits using “targeted population” designation since median income statistics for its census tract is impacted by surrounding, ultra-rich, part-time residents.

2010 census data has severely impacted WHHA’s eligibility for both public and private sector grant funding. The area’s tract income as percentage of AMI is 127%, which exceeds typical eligibility percentage of 120%. To this extent, WHHA has depended heavily on Department of Hawaiian Home Lands or the State Legislature for funding. While the chance for “targeted population” consideration may be an option, WHHA has made sure that every penny of grant funds was efficiently and effectively spent. WHHA is humbled by the support it has received to date from the State Legislature and intends for this request to solidify the establishment of long term, revenue-generating venues and programs that support both homestead and surrounding communities.

In addition, the community center is becoming self-sufficient by offering its current facilities for rent. For example, the commercial kitchen is being rented by homesteaders and the general community for parties and events, averaging four to six events per month. The certified kitchen has marketed itself through the State Department of Health, who has referred vendors and small businesses in need of the required certified commercial kitchen facility in order to prepare or assemble food products. New facilities, such as the amphitheater, hale halawai cultural center, and other community facilities will also be marketed for rental in order to bring revenue and offset the operational expenses.

**E. Certificate of Good Standing (If the Applicant is an Organization)**

A certificate of good standing dated January 18, 2017 from the Director of Commerce and Consumer Affairs is attached to this application.

## BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2017 to June 30, 2018

Applicant: WAIHOLI HAWAIIAN HOMESTEADER'S ASSOCIATION

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
<b>A. PERSONNEL COST</b>				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
<b>TOTAL PERSONNEL COST</b>				
<b>B. OTHER CURRENT EXPENSES</b>				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9. Consultants	550,000			
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
<b>TOTAL OTHER CURRENT EXPENSES</b>	<b>550,000</b>			
<b>C. EQUIPMENT PURCHASES</b>				
<b>D. MOTOR VEHICLE PURCHASES</b>				
<b>E. CAPITAL</b>	<b>950,000</b>			
<b>TOTAL (A+B+C+D+E)</b>	<b>1,500,000</b>			
<b>SOURCES OF FUNDING</b>		Budget Prepared By:		
(a) Total State Funds Requested	1,500,000	PERRY O. ARTATES <span style="float: right;">808.357.0831</span>		
(b) Total Federal Funds Requested	0	Name (Please type or print) <span style="float: right;">Phone</span>		
(c) Total County Funds Requested	0	1-16-17		
(d) Total Private/Other Funds Requested	0	Signature of Authorized Official <span style="float: right;">Date</span>		
<b>TOTAL BUDGET</b>	<b>1,500,000</b>	PERRY O. ARTATES, PRESIDENT		
		Name and Title (Please type or print)		





## BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2017 to June 30, 2018

Applicant: Waiohuli Hawaiian Homesteaders Association, Inc.

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
NOT APPLICABLE			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
NOT APPLICABLE			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

## BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2017 to June 30, 2018

Applicant: Waiohuli Hawaiian Homesteaders Association, Inc.

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2015-2016	FY: 2016-2017	FY:2017-2018	FY:2017-2018	FY:2018-2019	FY:2019-2020
PLANS						
LAND ACQUISITION						
DESIGN	300,000	-	550,000	-	-	1,200,000
CONSTRUCTION	1,200,000	-	950,000	-	-	5,000,000
EQUIPMENT						700,000
<b>TOTAL:</b>	<b>1,500,000</b>	<b>-</b>	<b>1,500,000</b>	<b>-</b>	<b>-</b>	<b>6,900,000</b>
JUSTIFICATION/COMMENTS ** FY:2019-2020 - WHHA plans to pursue private financing using leverages assets to continue development of phased improvements.						

**GOVERNMENT CONTRACTS AND / OR GRANTS**

Applicant: Waiohuli Hawaiian Homesteaders Association, Inc.

Contracts Total: 2,725,000

	<b>CONTRACT DESCRIPTION</b>	<b>EFFECTIVE DATES</b>	<b>AGENCY</b>	<b>GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)</b>	<b>CONTRACT VALUE</b>
1	DHHL Regional Grant	2012 to 2014	DHHL	State	250,000
2	State Grant in Aid (Act 106, SLH 2012) - Planning, Design, Construction	2012 to 2014	DHHL	State	600,000
3	State Grant in Aid (Act 215, SLH 2013) - Operations	2013 to 2015	DHHL	State	375,000
4	State Grant in Aid (Act 122, SLH 2014) - Planning, Design, Construction	2015 to 2017	DHHL	State	1,500,000
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
29					
30					

**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS PURSUANT TO  
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
  
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
  
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

WAIHOULI HAWAIIAN HOMESTEADERS ASSOCIATION, INC.

(Typed Name of Individual or Organization)



(Signature)

1-16-17

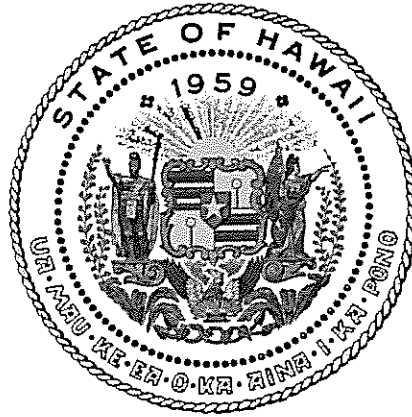
(Date)

PERRY O. ARTATES

(Typed Name)

PRESIDENT

(Title)



## Department of Commerce and Consumer Affairs

### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

WAIHOLI HAWAIIAN HOMESTEADERS ASSOCIATION, INC.

was incorporated under the laws of Hawaii on 07/06/1999 ;  
that it is an existing nonprofit corporation; and that,  
as far as the records of this Department reveal, has complied  
with all of the provisions of the Hawaii Nonprofit Corporations  
Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set  
my hand and affixed the seal of the  
Department of Commerce and Consumer  
Affairs, at Honolulu, Hawaii.

Dated: January 18, 2017

Director of Commerce and Consumer Affairs

