

House District 13

Senate District 7

THE TWENTY-NINTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

DOE - HANA HIGH AND ELEMENTARY SCHOOL

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

Dba: Ma Ka Hana Ka 'Ike

Street Address: 4111 Hana Hwy

Mailing Address: P.O. Box 968
Hana, HI 96713

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name RICK RUTIZ / RICHARD YOUNG

Title Executive Director / Vice Principal Hana School

Phone # 808-248-4815

Fax # 808-248-4819

E-mail rick@hanabuild.org / richard_young@notes.k12.hi.us

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL
- OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

CAMPUS BASED TEACHER HOUSING

4. FEDERAL TAX ID #: [REDACTED]

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2018: \$ 333,575.00

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ _____
 FEDERAL \$ _____
 COUNTY \$ _____
 PRIVATE/OTHER \$ _____

[REDACTED SIGNATURE]

RICK RUTIZ, EXECUTIVE DIRECTOR
NAME & TITLE

1/19/2017
DATE SIGNED



RECEIVED

1/20/17 *10yr*

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

A brief description of the applicant's background;

Ma Ka Hana Ka 'Ike is a construction skills training program for high school youth in Hana, Maui; an isolated community on the island's east coast with over two-thirds its population of Native Hawaiian ancestry. The mission of our award-winning program is to provide Hana's youth, particularly at-risk students, with a way to learn that makes sense to them, that builds their self-esteem and shows them they have the power to change their future. Ma Ka Hana Ka 'Ike has been working with Hana School and the community of partnerships since 2000.

Ma Ka Hana Ka 'Ike building program staff works with the Hana School Career Technical Education staff and applies academics such as math and science to real-life situations. The program provides the students with hands on vocational training and job experiences so they can graduate being career, college and citizenship ready. Students take pride in their abilities and accomplishments through building projects. The construction of campus based teacher housing will allow students to take part in the creation of needed housing for teachers.

The goals and objectives related to the request;

In the past 10-plus years, the Maui and specifically Hana rental market has become more challenging. It's because there's a lack of Hana rental housing available and it is due to a combination of issues. One of the main issues that makes it so hard to find rental housing in Hana is affordability. There are very few homes on the rental market due to many homeowners choosing to go the short-term transient rentals and bed and breakfast route which provides a higher net gain.

A modest, two to three-bedroom rental under 800 square feet in a rural area like Hana rents for \$1400 to \$1,600 a month. An entry level teacher's salary in Hawaii starts at \$3000.00 per month. If you follow suggested rent equations (rent should be 30% of your monthly income), teachers would need to be making over \$5,000 a month to be able to afford that rental. We have state teacher housing, but it is not enough to provide for the needs of the Hana community.

Ma Ka Hana Ka 'Ike provides our High School youth a hands-on training program that meets their learning needs and shows them pathways to success, with an emphasis on sustainable techniques the program addresses the global environmental crisis and instills the value of responsible and innovative resource use. The projects done by Ma Ka Hana Ka 'Ike meet real community needs, and teaches the greatest lesson of all—how to malama [caretake] our people and create a vibrant local community.

The public purpose and need to be served;

Finding, hiring, and retaining qualified teachers is a challenge not only for the State of Hawaii, but especially in a rural community like Hana. Ma Ka Hana Ka 'Ike has assisted the school in providing buildings to meet the needs of the campus and community. Housing is a basic need and without affordable housing the Hana school / community has to do without.

Having previously built 16 structures on the Hana School campus we would like to extend this partnership and have the next project be Campus based housing for teachers and staff.

Describe the target population to be served;

Hana is a hard-to-fill area with regards to teacher positions, the lack of affordable housing for teachers and staff in Hana is radically affecting education in Hana. Not being able to hire staff due to limited housing has been a problem for many years.

Describe the geographic coverage;

The Hana community extends 50 miles of rugged East Maui coastline from Keanae to Kipahulu. The town of Hana is an agricultural community with four main employers; The Travaasa Hotel, the County of Maui, Hana Ranch, and Hana High and Elementary School. The community has a population of 1300, Hana School Complex serves a population of 368 students from infant toddler to twelfth grade and has also started offering college course work on the campus. The Hana School Complex is the center of many community events. The majority of the student population is of Hawaiian or Part-Hawaiian ancestry. The next largest ethnic group is Caucasian. Currently, Hana Complex participates in the free meal program called the Community Eligibility Provision (CEP). This program has been a tremendous help to our families in the Hana community.

There is very strong community support for the Hana Complex. Non-profit organizations are located on the campus and contribute greatly to education and support of the students and involving them in community service activities. Ma Ka Hana Ka 'Ike runs the building program, Maui Family Support Services runs the infant and toddler program, Ohana Makamae provides counseling and academic support services. Hana Arts provides a music, art and dance program. Hana also has numerous 21st Century Learning partners providing after school programs for the youth from Keanae to Kipahulu.

II. Service Summary and Outcomes

The Scope of the project is to construct a 2100 square foot structure on the Hana School Campus located adjacent to an existing three (3) bedroom campus housing unit. The structure will contain two (2) campus based housing units. Each unit will have two(2) bedrooms, 1 bath, a combination pantry/storage/laundry room and a kitchen / living / dining area combination room. The building will be powered partially or entirely by a photovoltaic system. The structure will

undergo County permitting and DCAB Review as required by the State Department of Education (DOE) Facilities and Maui Department of Accounting and General Services (DAGS).

Ma Ka Hana Ka 'Ike will be the general contractor on the site and work with architects, engineers, subcontractors, and Hana High and Elementary School / DAGS / State Facilities Development Branch to project completion. Completion will be approximately 12 months from the time of ground breaking. State Permits and review will have to occur prior to the start of construction and is dependent on county and state agency timelines. Quarterly progress reports will be sent to the Legislature to document building progress if requested. Measure of effectiveness will include a quality satisfaction survey, end user survey and a well constructed building free from defects for a time of 2 years from the date of completion.

Through the course of the project Ma Ka Hana Ka 'Ike plans to achieve the following: 1) transform the behavioral patterns of at-risk youth, 2) create an appreciation of learning, 3) build community relationships, 4) respond to real school and community needs, 5) offer skills of self-sustenance to the remote Hana region, and 6) prove to youth that goals can be reached.

We aim to meet the above goals with the following objectives: 1) offering a new way to "feel good" without turning to drugs, alcohol, or negative forms of expression; and renewing self-esteem by experiencing pride in accomplishment; 2) showing immediate and tangible results of learning, and introducing learning by choice; 3) instilling the respect of the other people and property, and promoting teamwork; 4) improving the school, and providing needed teacher housing for Hana School; 5) preparing youth for employment in construction trades, inspiring further training, or providing skills for use at home; and raising the skill capacity of our community; and 6) providing a formula for success, instilling youth with the confidence to believe they can succeed.

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request. **(See attached GIA forms)**
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2018.

Capital Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$ 120,325.00	\$ 145,000.00	\$ 35,250.00	\$ 33,000.00	\$333,575.00

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2018. **"not applicable"**
4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a

listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable. "**not applicable**"

5. The applicant shall provide a listing of all federal, state, and county government contracts and grants it has been and will be receiving for program funding. "**not applicable**"
6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2016. **for this project (0) "not applicable"**

IV. Experience and Capability

A. Necessary Skills and Experience

Ma Ka Hana Ka 'Ike is a hands on construction skill training program for at-risk youth in Hana, East Maui. Our mission is to provide youth with a way to learn that makes sense to them, that builds their self-esteem and shows them they have the power to change their future.

Academic subjects are taught through real-life, hands-on application, where students can understand the concepts they are learning through tangible examples. But these concepts are not just examples for learning's sake, the projects meet real school and community needs, so our students' education immediately serves those whose lives it touches. In its 16th successful year (established Fall of 2000), Ma Ka Hana Ka 'Ike is proud to review the many student accomplishments: the recently completed only off grid classroom in the state, building of 27 cottages for local elders in the Hana community, 33 collaborative building projects with other area non-profits, 16 on-campus facilities at Hana High and Elementary School, 8 solar photovoltaic systems and 18 solar hot water systems, a new community farm for Hana and nearly 50 ramps and handicap-access improvements for elders and community members in need. Go to www.hanabuild.org to view the many projects.

B. Facilities

As shown above and on the attached website (www.hanabuild.org) Ma Ka Hana Ka 'Ike has built many projects on the Hana High and Elementary School Campus. We have been working with Dann Carlson, Assistant Superintendent from the Office of School Facilities and Support Services. Plans will be submitted through the County of Maui Building and Planning department.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

Executive director and licensed contractor Rick Rutiz is aided by a full-time program assistant, a part-time program specialist, and a rotating crew of building experts, who give of their time, materials and services to help further our students' training. Since the inception, Rick and the program have received a humbling series of awards, including the Council for Native Hawaiian

Advancement's Non-profit of the Year Award (2004); the prestigious Tiny Malaikini Mea Kokua Award (2009), honoring Rick Rutiz as one who has given most selflessly for the good of the Hana community; several Maui County Council resolutions for bringing exposure to our youth's achievements on national television (building featured on the Discovery Channel's Planet Green network (2009) and Emmy-award winning Profiles in Caring (2010); the Maui News 1 or 10 People Who Make a Difference Award (2010); Hawaii State Governor Linda Lingle's Innovation Award (2010); Maui Mayor Arakawa's Laulima Award for Environmental Leadership(2011); the Maui No Ka Oi "Environmental Hero" Award (2012); and the most recent from the Maui Non-profits Directors' Association "Humanitarian of the Year" Award (2016). The greatest measure of qualifications, however, is not just in awards or even buildings constructed, but the changes taking place in students every day. In their words: "As the year goes by, I'm always learning something new...I've made many mistakes, but then I learn from it and I prevent it from happening again." "I love to be empowered by my 'aina (land) and kupuna (elders) ... Ma Ka Hana Ka 'Ike keeps me away from bad habits and encourages me to stay on the right path."

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request. (see above question)

C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

Rick Rutiz	Executive Director	\$ 80,000 (salary)
James Pu	Program Specialist	\$ 30.00 (hourly)
Russell Stoner	Foreman	\$ 25.00 (hourly)

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain. **"not applicable"**

B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

Contractor's License

C. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

"not applicable"

D. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2017-18 the activity funded by the grant if the grant of this application is:

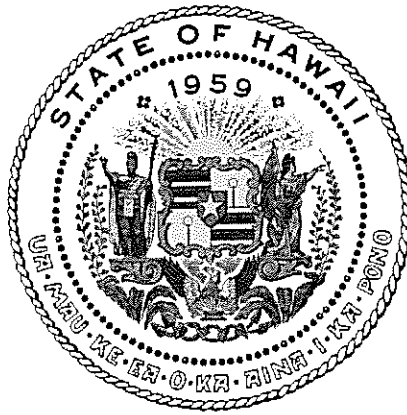
- (1) Received by the applicant for fiscal year 2017-18, but
- (2) Not received by the applicant thereafter.

Hana Complex will assume responsibility for the structure.

E. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2016.

See attached



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

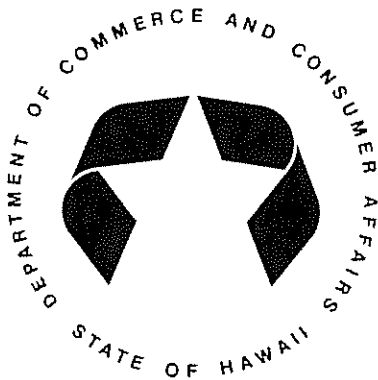
MA KA HANA KA 'IKE BUILDING PROGRAM

was incorporated under the laws of Hawaii on 09/17/2001 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 19, 2017

Director of Commerce and Consumer Affairs



BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2017 to June 30, 2018

App

Ma Ka Hana Ka 'Ike

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	0			
2. Payroll Taxes & Assessments	0			
3. Fringe Benefits	0			
TOTAL PERSONNEL COST	0			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	0			
2. Insurance	0			
3. Lease/Rental of Equipment	0			
4. Lease/Rental of Space	0			
5. Staff Training	0			
6. Supplies	0			
7. Telecommunication	0			
8. Utilities	0			
9				
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20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	333,575			
TOTAL (A+B+C+D+E)	333,575			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	333,575	Rick Rutiz	808-264-3013	
(b) Total Federal Funds Requested		[REDACTED]		
(c) Total County Funds Requested		[REDACTED]		
(d) Total Private/Other Funds Requested		[REDACTED]		
TOTAL BUDGET	333,575	Rusty Estrada, Board President <i>[Signature]</i> 2/1/17		

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2017 to June 30, 2018

Applicant: Ma Ka Hana Ka 'Ike

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
"NOT APPLICABLE"				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2017 to June 30, 2018

Applicant: Ma Ka Hana Ka 'Ike

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
not applicable			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
not applicable			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2017 to June 30, 2018

Applicant: Ma Ka Hana Ka 'Ike

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2015-2016	FY: 2016-2017	FY:2017-2018	FY:2017-2018	FY:2018-2019	FY:2019-2020
PLANS			15000			
LAND ACQUISITION						
DESIGN			15000			
CONSTRUCTION			303575			
EQUIPMENT						
TOTAL:			333,575			
JUSTIFICATION/COMMENTS:						

GOVERNMENT CONTRACTS AND / OR GRANTS

App

Ma Ka Hana Ka 'Ike

Contracts Total:

124,500

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	Provide after school construction skills program	10/1/16 - 6/30/17	Dept. of Education	State	24,500
2	Line item in County of Maui Budget	7/1/16 - 6/30/17	County of Maui	County	100,000
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**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

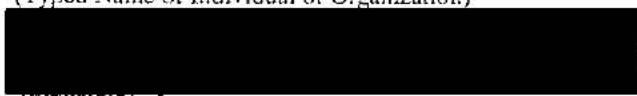
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Ma Ka Hana Ka Ike

(Typed Name of Individual or Organization)



1/19/17

(Date)

Rusty Estrella

(Typed Name)

Board President

(Title)