

House District _____

Senate District _____

THE TWENTY-NINTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: _____

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
Five Mountains Hawaii

Dbn: Kipuka o ke Ola

Street Address: 65-1158 Mamalahoa Hwy, Suite 2D, Kamuela, HI 96743

Mailing Address: Same

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name DR. CLAREN KEALOHA-BEAUDET

Title Executive Director and Clinical Psychologist

Phone # 808-885-5900

Fax # 808-885-6900

E-mail clarenk@aol.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL
- OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

KIPUKA O KE OLA: EXPANSION AND DIVERSIFICATION OF PRIMARY CARE AND MENTAL HEALTH SERVICES TO THE UNDERSERVED POPULATIONS OF HAWAII ISLAND

4. FEDERAL TAX ID #: _____

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2018: \$ 312,744.00

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 312,744.00

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER/KOKO \$ 598,608.00

Claren Kealoha-Beaudet
PhD Executive Director
Clinical Psychologist

NAME & TITLE

01/18/2017

DATE SIGNED



RECEIVED
1/20/17

Application for Grants – 2017-2018

I. Background and Summary

Five Mountains Hawai'i dba Kīpuka o ke Ola (KOKO) is a private, non-profit health clinic founded in 2013 to fulfill the mission of addressing Kanaka Maoli (Native Hawaiian) health disparities. KOKO provides a full range of bio-psycho-social services to anyone who presents for treatment, but we have a special emphasis on addressing the physical and mental health disparities of the Kanaka Maoli population through culturally-informed direct services. Our program is differentiated from other local healthcare programs by offering:

- 1) Integrated health services in a culturally competent way,
- 2) Behavioral and primary care health services, emphasizing interventions to bring about desired lifestyle changes,
- 3) Treatment to patients regardless of financial resources and insurance provider.

Specifically, we offer: Patient support services and family engagement; Identify and address issues of depression, anxiety, trauma, addiction, and overall mental health; Pharmacotherapy (Medication Management); Primary Care Services (14 years old and up); Psycho-educational Trainings for the community and other healthcare-oriented organizations.

KOKO began with two Clinical Psychologists, Dr. Claren Kealoha-Beaudet and Dr. Franco Acquaro, and a vision that Kanaka Maoli living in our service area, North Hawai'i Island, will achieve parity with non-Pacific Islanders across all of the major bio-psycho-social indicators of wellness. KOKO has steadily grown in patient load and strategically expanded its services as we have incrementally grown over the last three years.

The first addition to our provider team was Dr. Ian Chun in 2015. He is a dually certified Psychiatrist/Pediatrician, and his schedule was immediately filled due to a high demand for his services as he is the only Psychiatrist on Hawai'i Island who accepts Medicaid, Medicare, uninsured, and pro-bono patients. In late 2016, KOKO expanded and diversified services further by adding Nurse Practitioner Lauren Butcher to our team.

Support from Hawai'i State Grant in Aid program during this pivotal expansion phase will ensure the continued access to high quality, culturally-informed mental health care and now, primary care services for some of Hawaii's most vulnerable and underserved populations – Native Hawaiians, uninsured, underinsured, and the mentally-ill. Funding will support three areas of Kīpuka o ke Ola that all center around continued prioritization of serving the underserved, and the expansion and diversification of services. Additional financial resources will subsidize the high cost of providing medical and mental health services to these populations while KOKO is in its early expansion stage and establishing the stable revenue system that will sustain services indefinitely.

By the close of the grant cycle, Kīpuka o ke Ola will add 500 new patients, which is a 70% increase in patient load, and ensure compliance and integration of any new requirements for

and diabetes. Depression further exacerbates chronic health conditions of Native Hawaiians diagnosed with type-2 diabetes (Kaholokula, Haynes, Grandinetti, and Chang, 2006).

Second, Native Hawaiians also experience the highest rates of substance use, abuse, and chemical dependency. In particular, Native Hawaiians are the highest utilizers of tobacco statewide (DOH). Similarly, the overall age-adjusted death rate for cancer in Hawai'i County is consistently twice the death rate for the State and three times the death rate for Healthy People 2010. The reasons for the large difference are complex and includes inadequate access to care that inhibits timely screening, diagnosis and appropriate treatment as well as life-style risk factors such as smoking, obesity and alcohol consumption (Hawai'i County Community Health Profile). Because Native Hawaiians continue to have the highest rates of tobacco use despite availability of conventional cessations supports, culturally informed cessation programs were developed and provided to Native Hawaiians (Santos et al., 2008). FMH would like to propose a culturally informed intervention and prevention program to address the medical and behavioral health needs of the Native Hawaiians in North Hawai'i and ultimately reduce health disparities.

Third, depression and anxiety are chronic diseases that often result in significant dysfunction and limitations in the family, community, and at work) (Wells et al, 1989). Persons with depression have higher rates of cardiovascular disease and diabetes and more risk behaviors for these diseases, such as smoking, poor diet, or lack of exercise, than persons without depression (Katon, 2003).

With the recent addition of a primary care provider, Nurse Practitioner Lauren Butcher, KOKO is better equipped to provide integrated mental health and primary care services that is needed to comprehensively address this critical need.

Though KOKO is located on the Northern end of Hawai'i Island, in the center of Waimea, our service area is much wider. Our patients come from all corners of Hawai'i Island. This is symptomatic of 2 main issues:

1. A lack of culturally-informed service providers;
2. A lack of service providers who will accept Medicaid, Medicare, and uninsured patients.

Frequently, our patients are some of the most vulnerable citizens who, without KOKO, could not get the medicine or services they need. KOKO has patients who have to travel from more than 2 hours away because there is no other Psychiatrist who will accept their insurance or provide pro-bono treatment. One patient travels over two hours every month to receive her medication via shot because she has a developmental disability. Without this medication this patient would very likely end up in a state of acute Psychosis. This is one example of the system-wide challenges of treating those patients most in need, that makes maintaining open accessibility of our services to all patients absolutely critical not only to our patients, but for the well-being of our entire community.

Equipment and needed furnishings will begin to be purchased, and support for other operational costs will be integrated and continued through the grant period to ensure the continued financial well-being of KOKO through this transition period.

Dr. Kealoha-Beaudet will submit regular and timely reports to compile with State GIA requirements.

Quarter 2 &3: Oct. 1, 2017 – Mar. 31, 2018

Operational support and outreach efforts continue as patient numbers expand. General systems for managing additional requirements under RHC are fully integrated in our operations and incremental improvements are made to improve efficiency.

Providers will begin the process of complying with continuing education requirements to maintain their licensure by taking Continuing Medical Education (CME) courses and/or Continuing Education Units (CEU).

Dr. Kealoha-Beaudet will submit regular and timely reports to compile with State GIA requirements.

Quarter 4: Apr 1, 2018 – June 30, 2018

Operational support and outreach efforts continue, and by May 2018, Kīpuka o ke Ola's total patient count will have increased by 500 patients – from 720 to 1220 patients.

Dr. Kealoha-Beaudet will prepare the Final Report on the substantial impact that the State GIA funding in sustaining and expanding healthcare services to underserved populations on Hawai'i Island.

Quality Assurance and Evaluation

Continual assessment of patients' well-being is an innate part of patient services to monitor changes and adjust treatment level and approach, especially for mental health services. Surveys and questionnaires are a critical part of KOKO's operations and patient monitoring, especially for mental health. Patients take a standard health questionnaire at each visit, either the: Patient Health Questionnaire (PHQ9) or the Generalized Anxiety Disorder 7-item (GAD-7) scale. Both are used as a screening and diagnostic tool for mental health disorders, screening for degree of depression and anxiety, as well as rating the social, occupational, and psychological functioning of adults. We also have several other tools that we have identified to be used at intake and through-out course of treatment as needed:

- Assessment of Hawaiian Well-Being Scale
- Cross-cutting Symptom Measure (Adult Self-rating)
- Cross-cutting Symptom Measure (Adolescent Self-rating)
- Cross-cutting Symptom Measure (Parent-rating for Child)

III. Financial

Budget

All required budget forms are included in this package. Below are the anticipated quarterly funding requests for the fiscal year 2018.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$76,736	\$89,536	\$80,236	\$66,236	\$312,744.00

For FY 2018, KOKO was awarded \$50,000 for a HMSA grant for which we must first find matching funds. We also applied for a grant for ~\$140,000 under Office of Hawaiian Affairs.

The only tax credit KOKO has received is via the non-profit 501(c)3 tax exemption.

In the last 3 years KOKO has received the following grants, though only the County Grant is government. Grants include:

2014: \$25,000 - Shipper's Wharf; \$25,000 - County of Hawaii, R&D grants; \$25,000 - Medtronics

2015: \$25,000 from Atherton; \$100,000 - Queen's Health System for onboarding Dr. Chun; \$25,000 - Medtronics

2016: \$197,000 - Queen's Health System for new facility renovations; \$25,000 - Medtronics

2017: \$50,000 HMSA

The balance of KOKO'S unrestricted current assets as of December 31, 2016 is approximately \$50,000.

IV. Experience and Capability

A. Necessary Skills and Experience

Our team has a history of creating community based health programs that pre-dates the establishment of Kīpuka o ke Ola, and since KOKOs launch in 2013, this team has shown an ability to provide high quality healthcare while expanding our capacity to serve more patients. The practice started with two Clinical Psychologists, Dr. Claren Kealoha-Beaudet and Dr. Franco Acquaro, and 2 support staff, treating around 150 patients. KOKO has been successful in recruiting and on-boarding additional providers. We now employ a dually certified psychiatrist/pediatrician, Dr. Ian Chun and our newest edition, Nurse Practitioner, Lauren Butcher, and in the last year, KOKO served over 720 patients. Our providers also serve key roles within the organization management and structure. The Executive Director is Dr. Kealoha-Beaudet; Associate Director is Dr. Franco Acquaro, and Medical Director is Dr. Ian Chun. As a healthcare organization, our support staff are an indispensable part of our team.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

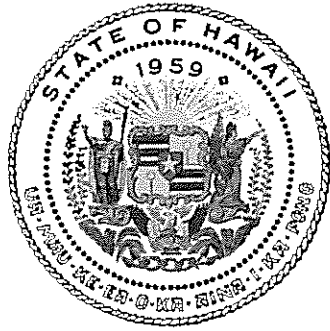
Claren Kealoha-Beaudet, Psy.D., is the Executive Director and licensed Clinical Psychologist at KOKO. She will be the Project Administrator - maintaining administrative contract oversight for this project. She has experience in project data collection, evaluation, grant compliance, management, and reporting. She has also been one of the leads on gaining Rural Health Clinic designation, and under this grant she will dedicate an additional administrative time towards implementing and compiling with the standards required under that designation. She will also be key in outreach efforts and managing marketing efforts. Ever since the establishment of KOKO, both Dr. Kealoha-Beaudet and Dr. Acquaro have been the primary advocates for the clinic and have been incredibly effective at sharing the unique services and care approach available through KOKO.

Additionally, KOKO will hire another office/administrative assistant position to help collect and process data and maintain compliance with these new standards. This position will work directly with Dr. Kealoha-Beaudet as well as assist the Business Manager, Wendy Cypriano in maintaining records and systems, and adherence to Universal Standards and Specialty Standards required under the RHC program. We are also supported by our office staff and consultants: Luana Keakealani - Medical Assistant; Alita-Ray Cookman - Administrative Assistant; Melinda Nugent - Practice Management Consultant.

The recruitment and on-boarding of Nurse Practitioner Lauren Butcher was ideal as she brings decades of experience in patient care and has worked throughout Hawai'i Island. The critical piece in now promoting her and the additional services now available at KOKO.

B. Organization Chart





Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

FIVE MOUNTAINS HAWAII, INC.

was incorporated under the laws of Hawaii on 06/25/1996 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 16, 2017

Director of Commerce and Consumer Affairs



BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2017 to June 30, 2018

Applicant: Five Mountains Hawaii dba Kīpuka o ke Ola

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Funds from KOKO (d)
A. PERSONNEL COST				
1. Salaries	167,660			510,540
2. Payroll Taxes & Assessments				
3. Fringe Benefits	3,378			18,912
TOTAL PERSONNEL COST	171,038			529,452
B. OTHER CURRENT EXPENSES				
1. Marketing/promotion/patient recruitment	10,000			
2. Insurance				10,000
3. HR service fees	5,278			5,728
4. Lease/Rental of Space	31,428			31,428
5. Training -CEU/CME stipend (\$5000x4ppt)	20,000			
6. Funds to support more pro-bono services	34,000			
7. Electronic Medical Records	12,000			12,000
8. Utilities	6,000			6,000
9. Contracted Services - office cleaning	8,000			4,000
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	126,706			69,156
C. EQUIPMENT PURCHASES	15,000			
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	312,744			598,608
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	312,744	Dr. Claren Kealoha-Beaudet 808-885-5900		
(b) Total Federal Funds Requested	0	Name (Please type or print) Phone		
(c) Total County Funds Requested	0	Signature of Authorized Official Date		
(d) Total Funds from KOKO	598,608			
TOTAL BUDGET	911,352	Dr. Claren Kealoha-Beaudet, Executive Director Name and Title (Please type or print)		

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2017 to June 30, 2018

Applicant: Five Mountains Hawaii dba Kipuka o ke Ola

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
Executive Director Dr. Claren Kealoha-Beaudet	1 FTE	\$104,000.00	44.00%	\$ 45,760.00
Nurse Practitioner, Lauren Butcher	1 FTE	\$135,000.00	50.00%	\$ 67,500.00
Office/Administrative Assistant	1 FTE	\$41,600.00	100.00%	\$ 41,600.00
Medical Director, Ian Chun	1 FTE	\$220,000.00	4.00%	\$ 8,800.00
Assistant Director, Dr. Acquaro	1FTE	\$100,000.00	4.00%	\$ 4,000.00
Busniess Manager, Wendy Cypriano	1 FTE	\$41,600.00	0.00%	\$ -
Medical Assistant, Luana Keakealani	1 FTE	\$36,000.00	0.00%	\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				167,660.00

JUSTIFICATION/COMMENTS: Requesting support for 2days/week (40% of FTE) for ED, Dr. Kealoha-Beaudet, during the transition year of Rural Health Clinic (RHC) designation to focus on Administrative responsibilities and compliance. Support for Nurse Practitioner during the ramp up phase as she increase her patient load to a level where her income will sustain her costs. Office/Administrative Assistant to assist with additional reporting required for the RHC, but the cost of the business manager will be covered by KOKO. Each provider was allocated 2weeks, (~4%) of their time to take their CME/CUE courses to maintain their licensure. The rest of the staff costs will be covered by KOKO's patient fees and reimbursements.

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2017 to June 30, 2018

Applicant: Five Mountains Hawaii dba Kipuka o ke Ola

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
Computers	4.00	\$2,250.00	\$ 9,000.00	
Office Furniture - Couch	2	\$1,100.00	\$ 2,200.00	
BMI Machine - Detecto Digital Handrail Stand-on scale (+shipping)	1	\$2,000.00	\$ 2,000.00	
Office desk + chair	3	\$600.00	\$ 1,800.00	
			\$ -	
TOTAL:	10		\$ 15,000.00	

JUSTIFICATION/COMMENTS: Periodic upgrades of our computers are required for the necessary Medical management software and our current Mac systems are almost outdated. Four new computers are requested. Additional furnishings are required for the expanded office facility. A BMI machine would allow KOKO, for the first time, to monitor of bio-physical indicators to better assess effectiveness of improving overall patient health.

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
NOT APPLICABLE			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2017 to June 30, 2018

Applicant: Five Mountains Hawaii dba Kīpuka

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2015-2016	FY: 2016-2017	FY:2017-2018	FY:2017-2018	FY:2018-2019	FY:2019-2020
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						
JUSTIFICATION/COMMENTS: <div style="text-align: center; padding: 5px;"> NOT APPLICABLE, all renovation and capital costs will be complete before the grant period </div>						

GOVERNMENT CONTRACTS AND / OR GRANTS

Applicant: Five Mountains Hawaii dba Kipuka o ke Ola

Contracts Total: -

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	NOT APPLICABLE/ NO CONTRACTS				
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**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Five Mountains Hawaii dba Kipuka o ke Ola



Dr. Claren Kealoha- Beudet Executive Director/ Clinical Psychologist
(Typed Name) (Title)