

NEIL ABERCROMBIE
GOVERNOR



REVISED

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CHAIRPERSON

STATE OF HAWAII
STATE PUBLIC CHARTER SCHOOL COMMISSION
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FOR: Briefing on the Charter Schools Biennium Budget
DATE: Friday, January 11, 2013
TIME: 9:00 a.m.
COMMITTEE(S): House Committee on Finance
ROOM: Conference Room 309
FROM: Peter Tomozawa, Commissioner
State Public Charter School Commission

Chair Oshiro and Members of the Committee:

A. Mission Statement:

The mission of the State Public Charter School Commission ("Commission") is to authorize and monitor the progress of high-quality public charter schools throughout the State.

B. Economic and Fiscal Conditions

The economic downturn continues to impact the charter schools. School enrollment increases each year, but the per pupil funding to the charter schools has declined significantly. Since 2007-08 total charter school enrollment has increased by 3,689 students or 52% and per pupil funding for these students has declined from \$9,063.89 to \$5,950.33, a decrease of \$3,113.56 or 34.4% (see tables below).

Enrollment growth continues to strain the charter schools' ability to provide facilities for both existing and new students. Years of declining per pupil amounts has resulted in charter schools reducing or eliminating programs, relying on fundraising and other sources of revenues to supplement their operating resources and draining funds that were established by the charter schools for specific purposes, often for facilities or program expansion.

Charter Schools Enrollment Trends

| Year | Total CS Enrollment | Real Growth Over Prior Year | % Growth Over Prior Year |
|---------|---------------------|-----------------------------|--------------------------|
| 2004-05 | 4,964 | | |
| 2005-06 | 5,744 | 780 | 15.71% |
| 2006-07 | 5,812 | 68 | 1.18% |
| 2007-08 | 6,131 | 319 | 5.49% |
| 2008-09 | 7,603 | 1472 | 24.01% |
| 2009-10 | 8,098 | 495 | 6.51% |
| 2010-11 | 9,018 | 920 | 11.36% |
| 2011-12 | 9,339 | 321 | 3.56% |
| 2012-13 | 9,820 | 481 | 5.15% |

Increase since 2008 52%

Charter Schools Per Pupil Funding

| Year | Sources | | | | | Total | % change |
|---------|----------------------------------|----------------------------------|--------|----------|--|------------------------|----------|
| | State General Funds - Operations | State General Funds - Facilities | ARRA A | ARRA B | | | |
| 2004-05 | 5,736.00 | | | | | 5,736.00 | |
| 2005-06 | 5,570.44 | | | | | 5,570.44 | -3% |
| 2006-07 | 7,907.41 | 552.58 | | | | 8,459.99 | 52% |
| 2007-08 | 9,063.89 | | | | | 9,063.89 | 7% |
| 2008-09 | 7,837.36 | | | | | 7,837.36 | -14% |
| 2009-10 | 6,037.30 | | 504.84 | 124.54 | | 6,666.68 | -15% |
| 2010-11 | 5,363.00 | 197.00 | 343.79 | 1,356.28 | | 7,260.07 | 9% |
| 2011-12 | 5,725.64 | 222.50 | | | | 5,948.14 | -18% |
| 2012-13 | 5,950.18 | | | | | 5,950.18 | 0.0% |
| | | | | | | % PP change since 2008 | -34.4% |

Performance Measures, Expected Outcomes and Recent Results:

Last session, the Legislature passed Act 130, SLH 2012, establishing a new charter school law that creates a new governance structure for Hawaii's charter school system with clear lines of authority and accountability to foster improved student outcomes, to support new approaches to education and to ensure accountability of public funds. This Act created the Commission with statewide chartering jurisdiction and authority.

Charter schools are now required to enter into a performance-based charter contract with the Commission that clearly sets forth academic, operational and fiscal performance measures.

The Commission is responsible to monitor and report on compliance and outcomes, intervene when appropriate, determine contract renewals and revocations, and apply a rigorous process for new applications to ensure high quality charter schools. The Commission is targeting execution of new charter school contracts for a July 1, 2013 start date.

As part of their unique, independent status, charter schools must effectively manage their financial, academic and operational affairs. The Commission will be using the following three key performance frameworks to accurately and adequately measure school effectiveness:

- Academic performance
- Financial performance and sustainability; and
- Organizational viability

Academic Performance Measures:

The Academic Performance Framework includes measures that allow the Commission to evaluate the school's academic performance or outcomes and was developed in accordance with Act 130. Indicators and metrics for academic performance include student proficiency; student academic growth; achievement gaps in proficiency; growth between major student subgroups; post-secondary readiness; and optional, school specific or mission specific measures.

Financial Capacity Measures:

The financial health and viability of the charter schools will be assessed by the Commission staff by analyzing metrics obtained primarily from information provided in the charter schools audited financial statements. The establishment of the Financial Performance Framework should be finished within the next few months. Compliance with fiscal reporting deadlines has continued to improve in the Charter School system. All 31 schools have undergone an independent financial audit for fiscal year 2011-12 and 30 of the 31 have submitted these reports to the Commission. The remaining audit report is anticipated to be received by mid-January. Charter schools are also required to submit periodic budget, financial and enrollment reports to the Commission.

Organizational Viability Measures:

The Organizational Performance Framework will include measures related to whether the charter school is meeting legal and compliance-related standards pertaining to the school's education program, financial management, governance, reporting, student rights, personnel, health and safety, and facilities.

Public Charter School Commission:

To implement the Act and transition to the new charter school system, the following have been accomplished since July 2012:

- Board of Education (BOE) appointed the Commission's inaugural members;

- Pursuant to Act 131, SLH 2012, the BOE contracted with a transition coordinator (National Association of Charter School Authorizers or NACSA) to assist with the implementation of Act 130, SLH 2012;
- NACSA conducted review of functions and developed Commission staffing plan and proposed Commission operating budget for FB 2013-2015;
- NACSA drafted the charter contract template and developed Hawaii performance frameworks (academic, financial and organizational) with drafts now circulating with the charter schools to obtain feedback before Commission approval;
- NACSA drafted administrative rules which are now being reviewed;
- NACSA assisted the Commission in implementing a rigorous process to evaluate new charter school applications;
- Commission has required the School Boards to reconstitute and amend their bylaws in accordance with the Act; and
- Commission is now recruiting for its first Executive Director.

C. Federal Funds

The Federal Budget Contract Act sequester would result in an estimated 8.2% cut for non-defense discretionary funding including most education programs such as Title I, Part A; Title II, Part A; Title III; IDEA, Part B; and Impact Aid.

Title I, Part A

Title I, Part A funds directly to schools would be reduced by approximately \$110,000, which will offset slightly by a recalculation in the way Title I funds will be distributed to all schools beginning in 2013-14. Title I, Part A SEA/LEA set-asides will be affected as well with cuts of approximately \$8,700 for Professional Development (PD), \$7,500 for School Improvement (SI), \$7,000 for Resource Teachers (Linker), \$1,770 for State Administration, and \$87 for Parent Involvement (PI). Cuts to PD, SI, and PI set-asides will result in reduced services and support for schools. Funds for State Administration and Resources Teacher would need to be replaced by general funds as current funding is already insufficient to cover these costs.

Title II, Part A

Reduced Title IIA funds in the amount of approximately \$30,750 will mean fewer opportunities for teacher professional development, and reduced incentive for non-highly-qualified teachers to become highly qualified under No Child Left Behind.

Title III

Reduced Title III funds in the amount of \$3,280 will impact two programs: after-school classes for ELL students, and professional development for staff working with these students.

IDEA, Part B

Cuts to IDEA, Part B could result in loss of special education personnel. Although not administered by this department, cuts to IDEA, Part B would have a significant impact on

schools budgets as schools would need to hire additional personnel to serve students with disabilities.

Impact Aid

Impact Aid estimated reductions of approximately \$185,000 (based on assumed 7.6% cut) will directly affect all charter schools. The funds come with no programmatic restrictions and so are used to supplement a school's general funds. Any reduction in these funds will need to be offset with general funds, or force further school-level cuts at a time when many schools are struggling.

Other Federal Funds

Currently CSAO receives only state general funds for a half-time McKinney-Vento coordinator; therefore a reduction in federal funds won't affect this, despite it being a federal program. Additionally, cuts to other education programs not administered by this department including Migrant Education, Career and Technical Education, and Race to the Top would result in loss of supports and services for charter schools and students.

D. Budget Request

The original budget and capital improvement projects request for the charter schools was composed of funding for the following five elements:

1. Schools Operations;
2. School facilities costs;
3. Commission's operational expenses;
4. Charter school audits; and
5. Workers' Compensation Administration.

School Operations

With respect to the charter school operational funding, and based on the Governor's budget decisions, the outlook for charter schools operational funding is improving. Based on the Governor's decision, projected future enrollment increases are funded and per pupil funding will increase over the biennium budget years (BY2013-15). These projections are provided by the schools to the commission staff for review. The total amount of added funding for these purposes is equal to \$7,025,148 in fiscal year 2013-14 and \$13,367,479 in fiscal year 2014-15. Most of this increase in funding will go to fund higher charter school enrollments and new charter schools. Overall, charter school enrollments are projected to increase to 11,431 in FY14 and 12,222 in FY15. Per pupil amounts are also projected to increase (based on the statutory formula to \$6,131 in FY14, a 3% increase; and to \$6,253 in FY15, a 2% increase.

Recently, the Commission approved the applications for two new charter schools, effective with the 2013-14 school year. The combined projected enrollments of these two schools are

191 students in FY14 and 267 students in FY15. In addition one more charter school application decision is still pending. The Governor's Budget Decision includes these enrollment projections.

Facility Costs

Currently, charter schools must pay for the costs of their facilities from operational funds. The request that was submitted applied a "needs based" approach to facilities funding. Charter schools submitted estimates of their future facilities related costs. These costs were analyzed and adjusted by the Commission staff to include only costs that were directly related to securing facilities necessary for the operation of the school (i.e. rent or lease of buildings and grounds, leasehold improvements, major maintenance or improvement of facilities needed for the safety and security of students and staff). Based upon this analysis the Commission approved a facilities funding request of \$7,556,758 in FY 14 and \$7,868,889 in FY 15. Further analysis by Commission staff led to the discovery that these amounts should be revised to \$7,183,180 and \$7,495,311, respectively. The facilities request was not included in the Governor's decision for funding.

Commission Operational Expenses

In the past, the Charter School Review Panel/CSAO's operations were funded as a percentage of the overall charter schools' per-pupil appropriation (up to 2% of the total). The Commission is now requesting a separate line item in the Executive Budget to provide for the operations and staffing of the Commission. The proposed budget request reflects the positions and other expenses needed to implement Act 130, SLH 2012. Most notably, the staffing plan and budget reflect the additional work of the Commission's authorizing/accountability functions as shown in the following new positions: Deputy Director of Performance, Academic Performance Manager, Academic Performance Specialist, Organizational Performance Manager, Organizational Performance Specialist, Financial Performance Manager and Financial Analyst. These accountability positions are based on model organizational structures from authorizers in other jurisdictions.

The remaining operational positions will carry out Commission office functions, similar to all other government agencies, and act as the point of contact and central coordinating entity between the charter schools and the DOE and other government agencies, as required by Act 130, SLH 2012.

Charter School Audits

Each charter school undergoes an annual independent financial audit. The users of these audit reports are generally external to the charter school (Commission, DOE, DAGS, etc.). Although the DOE does undergo an annual financial audit, because of economies of scale the cost to the DOE is considerably less (on a per pupil basis) than is the cost to charter schools (not every DOE school is subject to audit every year, the auditors test a sample of public schools). The original request for this item estimated the cost of the audits to be \$17,000 per school for a total request of \$629,000 (assumed 37 charter schools). Since that time the Commission staff

has refined this amount, based on actual costs submitted by the charter schools to average \$15,500 per charter school and the total number of charter schools to be 34 for a revised request of \$527,000. This request was not included in the Governor's decision for funding.

Worker's Compensation Administration

The original budget request included a request to fund the cost of Workers' Compensation Administration as provided for in statute. Per the statute the Department of Human Resource Development (DHRD) is required to provide Workers' Compensation Administration services to the charter schools. For this service the charter schools pay .07% of the annual charter school appropriation to DHRD. In the past, the CSAO has paid this amount from the CSAO's operational funds as part of its 2% administrative costs taken from the per-pupil funding. This request was not included in the Governor's budget decision.

On behalf of the Public Charter Schools, mahalo for the opportunity to discuss these issues with you and mahalo for your continuing support of the public charter schools of Hawaii. We remain ready, willing and able to work with you to find innovative ways to provide the funding and opportunities for the education of Hawaii's students at public charter schools.

Department of Education - Charter Schools
Prioritized List of Functions

Table 1

| <u>Priority</u> | <u>Description of Function</u> | <u>Activities</u> | <u>Prog ID(s)</u> | <u>Statutory Reference</u> |
|-----------------|--|---|-------------------|----------------------------|
| 1 | Instructional Services including Kindergarten through Grade 12 classroom instruction for regular students and special education services for students with disabilities. | Primarily direct classroom instruction including instructional technology services, textbooks and instructional materials and professional development for instructional staff. | EDN600 | 302B |
| 2 | Pupil Services | Primarily the following activities - home-to-school transportation, food services, counseling, health services and student athletics. | EDN600 | 302B |
| 3 | Operation & Maintenance of Facilities | Utilities, repair and maintenance, custodial services, rent/lease of facilities. | EDN600 | 302B |
| 4 | Administration | Local school board activities, school leadership, business/accounting services, personnel services, information management and technology services (non-instructional). | EDN600 | 302B |
| 5 | Community Services | Dissemination activities, community outreach activities. | EDN600 | 302B |
| 6 | Accountability and Applicant Services | Development and improvement of performance contracts and accountability standards for charter schools. Monitoring the compliance of charter schools to the terms and conditions of the contracts and standards. Implementation of appropriate interventions for charter schools not meeting standards. Creation of an application process for potential new charter schools including an evaluation framework for applications. Evaluating applicants vis-a-vis the evaluation framework. | EDN60X | Act 130 |

Department of Education - Charter Schools
Department-Wide Budget Summary

Table 2

| Fiscal Year 2013 | | | | |
|-----------------------------|-------------|----------------------------|------------------|-------|
| Act 106/12 Appropriation | Restriction | Emergency Appropriation | Total FY13 | MOF |
| \$ 61,757,919.00 | | | \$ 61,757,919.00 | A |
| | | | \$ - | |
| | | | \$ - | |
| \$ 61,757,919.00 | \$ - | \$ - | \$ 61,757,919.00 | Total |
| | | | | |
| Fiscal Year 2014 | | | | |
| Act 106/12 Appropriation | Reductions | Additions | Total FY14 | MOF |
| \$ 63,062,494.00 | | \$ 8,260,262.00 | \$ 71,322,756.00 | A |
| | | | \$ - | |
| | | | \$ - | |
| \$ 63,062,494.00 | \$ - | \$ 8,260,262.00 | \$ 71,322,756.00 | Total |
| | | | | |
| Fiscal Year 2015 | | | | |
| Act 106/12 Appropriation | Reductions | Additions | Total FY15 | MOF |
| \$ 63,062,494.00 | | \$ 14,602,593.00 | \$ 77,665,087.00 | A |
| | | | \$ - | |
| | | | \$ - | |
| \$ 63,062,494.00 | \$ - | \$ 14,602,593.00 | \$ 77,665,087.00 | Total |

Department of Education - Charter Schools
Funding by Program ID

Table 3

| Prog ID | Program Title | MOF | As budgeted in Act 106/12 (FY13) | | | Governor's Submittal (FY14) | | | | Governor's Submittal (FY15) | | | |
|---------|-------------------------|-----|----------------------------------|---------|---------------|-----------------------------|---------|---------------|----------------------------|-----------------------------|---------|---------------|----------------------------|
| | | | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ | Percent Change of \$\$\$\$ | Pos (P) | Pos (T) | \$\$\$ | Percent Change of \$\$\$\$ |
| EDN600 | Charter Schools | A | - | - | \$ 61,757,919 | - | - | \$ 70,087,642 | 13.5% | | | \$ 76,429,973 | 23.8% |
| EDN600 | Commission Operating Bu | A | | | \$ - | 15.00 | | \$ 1,235,114 | n/a | 15.00 | | \$ 1,235,114 | n/a |

Department of Education - Charter Schools
Budget Decisions

Table 4

| Prog ID | Description | MOD | Initial Department Requests | | | | | | Budget and Finance Recommendations | | | | | | Governor's Decisions | | | | | |
|-----------|--|-----|-----------------------------|---------|--------------|---------|---------|---------------|------------------------------------|---------|--------------|---------|---------|---------------|----------------------|---------|--------------|---------|---------|---------------|
| | | | FY14 | | | FY15 | | | FY14 | | | FY15 | | | FY14 | | | FY15 | | |
| | | | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ |
| EDN600/JA | Per Pupil Operating Funding Enrollment | A | | | \$ 6,045,674 | | | \$ 10,827,807 | | | \$ 6,960,150 | | | \$ 13,296,624 | | | \$ 7,025,148 | | | \$ 13,367,479 |
| EDN600/JA | Adjustment | A | | | | | | | | | | | | | | | | | | |
| EDN600/JA | Needs-based facilities request | A | | | \$ 7,556,758 | | | \$ 7,868,880 | | | \$ - | | | \$ - | | | \$ - | | | \$ - |
| EDN600/JA | Charter Schools Audit Costs | A | | | \$ 629,000 | | | \$ 629,000 | | | \$ - | | | \$ - | | | \$ - | | | \$ - |
| EDN600/JA | Commission Operating Budget | A | 15.00 | | \$ 1,235,114 | 15.00 | | \$ 1,235,114 | 15.00 | | \$ 1,235,114 | 15.00 | | \$ 1,235,114 | 15.00 | | \$ 1,235,114 | 15.00 | | \$ 1,235,114 |
| EDN600/JA | Workers' Comp. DHRD Admin Fee | A | | | \$ 48,376 | | | \$ 51,722 | | | \$ - | | | \$ - | | | \$ - | | | \$ - |

Department of Education - Charter Schools
Proposed FY14 and FY15 Reductions

Table 5

| <u>Prog ID</u> | <u>Description of Reduction</u> | <u>Impact of Reduction</u> | <u>MOF</u> | <u>FY14</u> | | | <u>FY15</u> | | | <u>Carry-over?</u> |
|----------------|---------------------------------|----------------------------|------------|----------------|----------------|-----------------|----------------|----------------|-----------------|--------------------|
| | | | | <u>Pos (P)</u> | <u>Pos (T)</u> | <u>\$\$\$\$</u> | <u>Pos (P)</u> | <u>Pos (T)</u> | <u>\$\$\$\$</u> | <u>(Y/N)</u> |
| | None | | | | | | | | | |

Department of Education - Charter Schools
Proposed FY14 and FY15 Additions

Table 6

| Prog ID | Request Category | Prog ID Priority | Dept. Wide Priority | Description of Addition | Explanation | MOF | FY14 | | | FY15 | | |
|---------|------------------|------------------|---------------------|---|--|-----|---------|---------|--------------|---------|---------|---------------|
| | | | | | | | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ |
| DN600/J | FE | 1 | 1 | Per pupil operating funding enrollment adjustment | Adjustment to provide funding for increasing enrollment in the charter schools and the changes based on the funding formula in statute | A | | | \$ 7,025,148 | | | \$ 13,367,479 |
| DN600/J | AR | 2 | 2 | Needs based facilities request | This request will provide funding to the charter schools for their direct facilities costs (rent, capital improvements, major maintenance). This request is based on charter schools projected costs over the biennium. | A | | | \$ 7,556,758 | | | \$ 7,868,889 |
| DN600/J | ND | 3 | 3 | Commission Operating Budget | Funds to pay for the operating costs of the Public Charter Schools Commission | A | 15.00 | | \$ 1,235,114 | 15.00 | | \$ 1,235,114 |
| DN600/J | AR | 4 | 4 | Charter Schools Audit Costs | Hawaii Revised Statute requires that every charter school undergo an annual independent financial audit. This is a cost that regular public schools do not experience. Because the purpose of the audits is to provide assurance to outside users of the financial reports (Legislature, Commission, etc.) charter schools contend that these costs should not come from within their operating funds. | A | | | \$ 629,000 | | | \$ 629,000 |

Department of Education - Charter Schools
Proposed FY14 and FY15 Additions

Table 6

| Prog ID | Request Category | Prog ID Priority | Dept. Wide Priority | Description of Addition | Explanation | MOF | FY14 | | | FY15 | | |
|---------|------------------|------------------|---------------------|-----------------------------|---|-----|---------|---------|-----------|---------|---------|-----------|
| | | | | | | | Pos (P) | Pos (T) | \$\$\$ | Pos (P) | Pos (T) | \$\$\$ |
| DN600/J | HS | 5 | 5 | Workers' Comp DHRD Amin Fee | Hawaii statute requires that charter schools reimburse DHRD for their costs of administering workers' comp claims for their employees. The amount of reimbursement is set at .07% of the amount appropriated for charter schools. Regular public schools are not subject to this cost because all of the costs of the employee benefits programs are paid centrally. This cost is not part of the charter school funding formula. | A | | | \$ 48,376 | | | \$ 51,723 |

Department of Education - Charter Schools
 Current Year (FY13) Restrictions

Table 7

| <u>Prog ID</u> | <u>MOE</u> | <u>Restriction \$\$\$</u> | <u>Percent of Act 106/12 Appropriation</u> | <u>Impact</u> |
|----------------|------------|---------------------------|--|---------------|
| None | | | | |

Department of Education - Charter Schools
 Emergency Appropriation Requests

Table 8

| <u>Prog ID</u> | <u>Description of Request</u> | <u>Explanation of Request</u> | <u>MOE</u> | <u>Pos (P) FY13</u> | <u>Pos (T) FY13</u> | <u>\$\$\$ FY13</u> |
|----------------|-------------------------------|-------------------------------|------------|-------------------------|-------------------------|--------------------|
| None | | | | | | |

Department of Education - Charter Schools
Expenditures Exceeding Appropriation Ceilings

Table 9

| <u>Prog ID</u> | <u>MOF</u> | <u>Date</u> | <u>Appropriation Ceiling</u> | <u>Amount Exceeding Appropriation</u> | <u>Increase Percent</u> | <u>Reason for Exceeding Ceiling</u> | <u>Recurring (Y/N)</u> | <u>GF Impact (Y/N)</u> |
|----------------|------------|-------------|------------------------------|---------------------------------------|-------------------------|-------------------------------------|------------------------|------------------------|
| None | | | | | | | | |

Department of Education - Charter Schools
 Intradepartmental Transfer of Funds

Table 10

| <u>Anticipated or Actual Date of Transfer</u> | <u>MOF</u> | <u>Amount of Transfer</u> | <u>From Prog ID</u> | <u>Percent of Imparting Program ID Appropriation</u> | <u>To Prog ID</u> | <u>Percent of Receiving Program ID Appropriation</u> | <u>Reason for Transfer</u> | <u>Recurring (Y/N)</u> |
|---|------------|-------------------------------|-------------------------|--|-----------------------|--|--|--|
| 11/1/2012 | A | \$ 2,976,120 | EDN600 | 4.8% | EDN100 | Unknown | Reconciliation of per pupil funding between DOE and Charter Schools pursuant to Act 130. | Process is recurring, amount is determined each year |

Department of Education - Charter Schools
Active Federal Awards

Table 11

| <u>State Expending Agency</u> | <u>Program ID</u> | <u>Award Number</u> | <u>CFDA Number</u> | <u>Award Description</u> | <u>Awarding Federal Agency</u> | <u>Award Amount</u> | <u>Award Amount Allocated to the Pgm ID in Column B.</u> | <u>State Appropriation Symbol</u> | <u>At Risk for Federal Sequestration (Y/N)</u> | <u>Contact Name</u> | <u>Contact Phone</u> | <u>Contact Email</u> | |
|---------------------------------------|-------------------|-------------------------|--------------------|--|--|-------------------------|--|---|--|------------------------|--------------------------|----------------------------------|-------------|
| E | | | 10.582 | Fresh Fruit and Vegetable Program | Dept. Of Agriculture | \$15,150 | | | N | Kaleihoku Kalai-Aguiar | (808) 982-4260 | kale3@hawaii.edu | Nawahi |
| E | | | 10.555 | National School Lunch Program | Dept. Of Agriculture | \$80,000 (est) | | | N | Kaleihoku Kalai-Aguiar | (808) 982-4260 | kale3@hawaii.edu | Nawahi |
| E | | | 10.553 | Special Breakfast Program | Dept. Of Agriculture | \$20,000 (est) | | | N | Kaleihoku Kalai-Aguiar | (808) 982-4260 | kale3@hawaii.edu | Nawahi |
| E | | | 10.555 | After School Snack Program | Dept. Of Agriculture | \$4,000 (est) | | | N | Kaleihoku Kalai-Aguiar | (808) 982-4260 | kale3@hawaii.edu | Nawahi |
| E | 17929 | | 84.027 | For Web based notebook computers for inclusion classes | | 9878 | | | | Sandy Kelley | (808) 935-6015 | cpcs@hawaii.rr.com | Connections |
| E | | | 10.553 & 10.555 | Breakfast & Lunch Reimbursement | Dept of Education | \$100,000 | \$100,000 | | N | Mary Breckenridge | 587-3600 | mary_brecknridge@notes.k12.hi.us | Waialae |
| E | | U282C110014 | 84.282C | Improving achievement | USDOE | \$102,181 | | | N | Alyson Tamura | 808-887-8144 | alison@kalo.org | Kanu |
| E | | | 10.555 | National School Lunch Program | US Department of Agriculture | \$60,831 | | | | Matt Home | 808-887-6090 | matt_home@notes.k12.hi.us | Waimea |

Department of Education - Charter Schools
Active Federal Awards

Table 11

| <u>State</u> <u>Expending</u> <u>Agency</u> | <u>Program ID</u> | <u>Award</u> <u>Number</u> | <u>CFDA Number</u> | <u>Award</u> <u>Description</u> | <u>Awarding</u> <u>Federal</u> <u>Agency</u> | <u>Award</u> <u>Amount</u> | <u>Award</u> <u>Amount</u> <u>Allocated to</u> <u>the Pgm ID in</u> <u>Column B.</u> | <u>State</u> <u>Appropriation</u> <u>Symbol</u> | <u>At Risk for</u> <u>Federal</u> <u>Sequestration</u> <u>(Y/N)</u> | <u>Contact Name</u> | <u>Contact</u> <u>Phone</u> | <u>Contact</u> <u>Email</u> | |
|---|-------------------|-------------------------------|-------------------------|---|--|-------------------------------|--|---|--|----------------------|--------------------------------|--------------------------------|----------|
| E | | | 10.553 | School Breakfast Program | US Department of Agriculture | \$12,306 | | | | Matt Home | 808-887-6090 | matt_home@notes.k12.hi.us | Waimea |
| E | | | 10.555 | National School Lunch Program | US Department of Agriculture | \$360,000 | | | | Emma Weiss | 808-697-7110 | eweiss@kamaille.org | Kamaille |
| E | | | 10.553 | School Breakfast Program | US Department of Agriculture | \$100,000 | | | | Emma Weiss | 808-697-7110 | eweiss@kamaille.org | Kamaille |
| E | | | 10.582 | Fresh Fruit & Vegetable | US Department of Agriculture | \$70,000 | | | | Emma Weiss | 808-697-7110 | eweiss@kamaille.org | Kamaille |
| E | | | CFDA10.555 & CFDA10.553 | National School Lunch & breakfast Program | A | \$114,712 | | y | Lihau Wengler-loane | 808-961-0470 ext 222 | | | Ka Umeke |
| E | | | CFDA10.582 | Fresh Fruit and Vegetable Program | A | \$11,851 | | y | Lihau Wengler-loane | 808-961-0470 ext 222 | | | Ka Umeke |
| E | | | CFDA | After Scol Snack Program | A | \$6,193 | | y | Lihau Wengler-loane | 808-961-0470 ext 222 | | | Ka Umeke |

Department of Education - Charter Schools
Active Federal Awards

Table 11

| State Expending Agency | Program ID | Award Number | CFDA Number | Award Description | Awarding Federal Agency | Award Amount | Award Amount Allocated to the Pgm ID in Column B. | State Appropriation Symbol | At Risk for Federal Sequestration (Y/N) | Contact Name | Contact Phone | Contact Email | |
|------------------------------|------------|------------------|-------------|---|-------------------------------|-----------------|---|----------------------------------|--|--------------|------------------|--|----------------------------------|
| N/A | ?? | S362A011005 3 | | Native Hawaiian Education Act Program - Na Pua'ele (3-year grant). Goal is to improve school- readiness and success for native Hawaiian children entering kindergarten by providing free, high quality Hawaiian language immersion Junior- Kindergarten program. Grant awarded directly to supporting non- profit. Award amount represents total | Dept. of Education | \$145,800 | ?? | ?? | ?? | Ivy Kelling | 808-235- 9175 | meahilahia@ kamakau.co m | |
| | | | 84.362A | | | | | | | | | | Kamakau |
| E | EDN600 | 17790 | 84.011 | NCLB Migrant Education | Dept. of Education | \$22,712 | \$22,712 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 18010 | 84.41 | Education Jobs Act | Dept. of Education | \$29,159 | \$29,159 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 18055 | 84.010 | NCLB Assessment | Dept. of Education | \$2,634 | \$2,634 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 18084 | 84.010 | NCLB Administration | Dept. of Education | \$2,660 | \$2,660 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |

Department of Education - Charter Schools
Active Federal Awards

Table 11

| State Expending Agency | Program ID | Award Number | CFDA Number | Award Description | Awarding Federal Agency | Award Amount | Award Amount Allocated to the Pgm ID in Column B. | State Appropriation Symbol | At Risk for Federal Sequestration (Y/N) | Contact Name | Contact Phone | Contact Email | |
|------------------------------|------------|-----------------|-------------|--|-------------------------------|-----------------|---|----------------------------------|--|--------------|------------------|--|----------------------------------|
| E | EDN600 | 18902 | 84.010 | Title I Grants to Schools | Dept. of Education | \$3,395 | \$3,395 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 18927 | 84.010 | NCLB Title I School Improvement | Dept. of Education | \$11,375 | \$11,375 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 18935 | 84.010 | NCLB Title I Parent Involvement | Dept. of Education | \$132 | \$132 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 19998/19026 | 84.041 | Federal Impact Aid | Dept. of Education | \$2,762,510 | \$2,762,510 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 206xx | 84.367 | Federal Title Iia | Dept. of Education | \$114,336 | \$114,336 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 38616 | 84.010 | ARRA Title I SIG Grants | Dept. of Education | \$700,000 | \$700,000 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 25497 | 84.196 | Ed for Homeless Children & Youth | Dept. of Education | \$18,875 | \$18,875 | | | Ted Pirsig | 586-3776 | ted@hcsao.org | pass through to CSAO from DOE |
| E | EDN600 | 52003 | 84.010 | Title I Professional Development | Dept. of Education | \$13,192 | \$13,192 | | Y | Ted Pirsig | 586-3775 | ted@hcsao.org | pass through to CSAO from DOE |

| COLUMN | DATA ELEMENT | DEFINITION |
|----------|--|---|
| A | State Expending Agency | State entity authorized to expend the funds as authorized by the appropriation or as delegated by the Governor. Select from drop-down menu. See legend below. |
| B | Program I.D. | The unique identifier for the specific program responsible for carrying out the program consisting of the abbreviation for the State entity followed by the organization number for the program. Format: XXX### |
| C | Award Number | The identifying number assigned by the awarding Federal Agency, such as the federal grant number, federal contract number or the federal loan number that appears in the federal award document. |
| D | CFDA No. | The number associated with the published description of Federal assistance program in the Catalog of Federal Domestic Assistance. Only applies to grants and loans. A list of CFDA codes can be searched at: https://www.cfda.gov/index?s=program&tab=list&mode=list Format: ##.###. |
| E | Award Description | Award title and description with purpose of funding action. |
| F | Awarding Federal Agency | Name of the federal agency that awarded and administers the award on behalf of the funding agency. Select from drop-down menu. See agency list below. |
| G | Award Amount | For Grants: The total amount of the award. For Loans: The total amount of the loan obligated by the Federal Agency; the face value of the loan. For Contracts: The total amount obligated by the Federal Agency. |
| H | Award Amount Allocated to the Program ID in Column B | Enter the portion of the total award amount allocated to this Program ID. For example, if a federal award in the amount of \$500 is allocated to only AGR100, the "Award Amount" and the "Award Amount Allocated to the Pgm ID in Column B" would both be \$500. However, if the \$500 will be allocated to AGR100, AGR200 and AGR300, in the amounts of \$100, \$250 and \$150, respectively, the "Award Amount" would be the \$500 for all three program IDs but the "Award Amount Allocated to the Pgm ID in Column B" would be \$100 for AGR100, \$250 for AGR200 and \$150 for AGR300. |
| I | State Appropriation Symbol | The account number established in FAMIS. The appropriation account is a combination of 4 fields: Fund Type, Fiscal Year, Appropriation Account Number, and Dept. Code. If an award has appropriation symbols for multiple fiscal years, enter the symbol for the first fiscal year. Format: X-YY-###-X |
| J | Contact Name | Name of the individual at the program level responsible for performance of the award. |
| K | Contact Phone No. | Phone no. of the individual at the program level responsible for performance of the award. |
| L | Contact Email | Email of the individual at the program level responsible for performance of the award. |
| | LEGEND: | |
| | State Expending Agency | |
| A (AGR) | Department of Agriculture | |
| B (BED) | Department of Business, Economic Dev & Tourism | |
| C (LNR) | Department of Land and Natural Resources | |
| D (TRN) | Department of Transportation | |
| E (EDN) | Department of Education | |
| F (UOH) | University of Hawaii | |
| G (DEF) | Department of Defense | |
| H (HTH) | Department of Health | |
| I (HHL) | Department of Hawaiian Home Lands | |
| J (JUD) | Judiciary | |
| K (HMS) | Department of Human Services | |
| L (LBR) | Department of Labor and Industrial Relations | |
| M (AGS) | Department of Accounting and General Services | |
| N (ATG) | Department of Attorney General | |
| O (BUF) | Department of Budget and Finance | |
| P (HRD) | Department of Human Resources Development | |
| Q (GOV) | Office of the Governor | |
| R (CCA) | Department of Commerce and Consumer Affairs | |
| S (LTG) | Office of the Lieutenant Governor | |
| T (TAX) | Department of Taxation | |
| V1 (PSD) | Department of Public Safety | |
| Z1 (OHA) | Office of Hawaiian Affairs | |
| | Federal Agency | |
| | Dept. of Agriculture | |
| | Dept. of Commerce | |
| | Dept. of Defense | |
| | Dept. of Education | |
| | Dept. of Energy | |
| | Dept. of Health and Human Services | |
| | Dept. of Homeland Security | |
| | Dept. of Housing and Urban Development | |
| | Dept. of Justice | |
| | Dept. of Labor | |
| | Dept. of State | |
| | Dept. of the Interior | |
| | Dept. of the Treasury | |
| | Dept. of Transportation | |
| | Dept. of Veterans Affairs | |
| | Corporation for National & Community Svc | |
| | Environmental Protection Agency | |
| | General Services Administration | |
| | NASA | |
| | National Science Foundation | |
| | Nuclear Regulatory Commission | |
| | Office of Personnel Management | |
| | Small Business Administration | |
| | Social Security Administration | |
| | U.S. Agency for International Development | |

Department of Education - Charter Schools
Non-General Fund Balances

Table 12

| <u>Name of Fund</u> | <u>Purpose</u> | <u>Statutory Reference</u> | <u>MOF</u> | <u>Beginning FY13 Unencumbered Cash Balance</u> | <u>Estimated FY13 Revenues</u> | <u>Estimated FY13 Expenditures and Encumbrances</u> | <u>Estimated FY13 Net Transfers</u> | <u>Estimated FY13 Ending Unencumbered Cash Balance</u> | <u>Balance in Excess of Program Needs</u> | |
|---|---|----------------------------|------------|---|--------------------------------|---|-------------------------------------|--|---|--------------|
| Kamehameha Schools Ho'olako Like Department | To develop, enhance and maintain the Hawaiian language through education in the home and school. | | DON | \$ - | \$ 457,500.00 | \$ 457,500.00 | \$ - | \$ - | \$ - | Nawahi |
| Student/Staff Lunch Collections | Student/ Teacher Meals fees | | W | \$ - | \$ 23,000.00 | \$ 23,000.00 | \$ - | \$ - | \$ - | HAAS |
| Van Shuttle Collections | Student Transportation | | W | \$ - | \$ 22,400.00 | \$ 20,000.00 | \$ - | \$ - | \$ - | HAAS |
| Other Misc Income | Materials, Fees, Interest, Rebate... | | W | \$ - | \$ 30,000.00 | \$ 30,000.00 | \$ - | \$ - | \$ - | HAAS |
| Individual Donations | Supports aloha/public relations | | DON | \$ - | | | | | | HLC |
| Student Lunch Fees | Purchase of school breakfast/lunch | | W | \$ - | \$ 7,733.00 | \$ 7,733.00 | | \$ - | \$ - | HLC |
| Student General Fees | Supports student activities, student supplies | | W | \$ - | \$ 11,320.00 | \$ 11,320.00 | | \$ - | \$ - | HLC |
| Special Events | Supports student activities - camp | | B | \$ - | \$ 2,400.00 | \$ 2,400.00 | | \$ - | \$ - | HLC |
| Interest | Supports service fees and aloha/public relations | | B | \$ - | \$ 420.00 | \$ 420.00 | | \$ - | \$ - | HLC |
| Ka Huli O Halao - Kamehameha School Ho'olako Like Grant | Partial funding for program and management staff, some supplies, partial funding of e2020 | | DON | \$ - | \$ 149,500.00 | \$ 149,500.00 | | \$ - | \$ - | HLC |
| Ka Huli O Halao - Kamehameha School Accreditation Grant | Partial funding for expenses attriuted to school accreditation - professional development training, staff stipends, partial funding for accreditaion manger and admin support staff | | DON | \$ - | \$ 93,250.00 | \$ 93,250.00 | | \$ - | \$ - | HLC |
| Ka Huli O Halao - Kamehameha School Aina Ulu Grant | Partial funding of Mo`omona Mauka and Makai lab community resource specialists, supplies | | DON | \$ - | \$ 60,000.00 | \$ 60,000.00 | | \$ - | \$ - | HLC |
| Extended Day Fees | A+ Fees | | W | | \$ 2,000.00 | \$ 2,000.00 | | \$ - | \$ - | Kona Pacific |
| Fundraising | Various Events | | DON | | \$ 8,609.00 | \$ 8,609.00 | | | \$ - | Kona Pacific |
| Grants | Food service, extended day, gardening program | | DON | | \$ 20,000.00 | \$ 20,000.00 | | | \$ - | Kona Pacific |
| Logo Items | Backpacks/lunchbags etc. | | W | | \$ 1,530.00 | \$ 1,530.00 | | | \$ - | Kona Pacific |
| Lunch | Lunch payments | | W | | \$ 6,374.00 | \$ 6,374.00 | | | \$ - | Kona Pacific |
| Supply Fee | Per pupil annual supply fee | | W | | \$ 10,440.00 | \$ 10,440.00 | | | \$ - | Kona Pacific |
| Uniforms | Pupil uniform T-shirts | | W | | \$ 11,473.00 | \$ 11,473.00 | | | \$ - | Kona Pacific |
| CPCS A+ Funds | For After School Program | | W | \$ - | \$ 5,600.00 | \$ 5,600.00 | | \$ - | | Connections |
| Transportation Fees | For Bus Maintenance | | W | \$ - | \$ 4,800.00 | \$ 4,800.00 | | \$ - | | Connections |

Department of Education - Charter Schools
Non-General Fund Balances

Table 12

| Name of Fund | Purpose | Statutory Reference | MOF | Beginning FY13 Unencumbered Cash Balance | Estimated FY13 Revenues | Estimated FY13 Expenditures and Encumbrances | Estimated FY13 Net Transfers | Estimated FY13 Ending Unencumbered Cash Balance | Balance in Excess of Program Needs | |
|-------------------------------|--|---------------------|-----|--|-------------------------|--|------------------------------|---|------------------------------------|-------------|
| donations | Class excursions | | DON | \$ - | \$ 200.00 | \$ 200.00 | | \$ - | | Connections |
| Nutrition funding Fees | Vending services | | W | \$ - | \$ 20,200.00 | \$ 20,200.00 | | \$ - | | Connections |
| Interest/Investment Income | Help pay for lease space | | B | \$ - | \$ 2,472.00 | \$ 2,472.00 | | \$ - | | Connections |
| Sublease | Help pay for lease space | | B | \$ - | \$ 3,000.00 | \$ 3,000.00 | | \$ - | | Connections |
| Fundraising | Class excursions | | W | \$ - | \$ 15,532.00 | \$ 15,532.00 | | \$ - | | Connections |
| Pizza Hut Grant | Authors for Reading Nights | | B | \$ - | \$ - | \$ - | | \$ - | | Connections |
| CRDG Design Grant | Google Docs Implementation Training | | B | \$ - | \$ - | \$ - | | \$ - | | Connections |
| Student Supply Fees | Classroom supplies and materials | | W | \$ - | \$ 27,000.00 | \$ 27,000.00 | \$ - | \$ - | \$ - | Voyager |
| Uniform Sales | School uniform | | W | \$ - | \$ 8,000.00 | \$ 8,000.00 | \$ - | \$ - | \$ - | Voyager |
| Lunch/Breakfast Fees | School meals | | W | \$ - | \$ 40,000.00 | \$ 40,000.00 | \$ - | \$ - | \$ - | Voyager |
| Snack sales | Classroom supplies and fieldtrip costs | | W | \$ - | \$ 2,000.00 | \$ 2,000.00 | \$ - | \$ - | \$ - | Voyager |
| Fieldtrips | Fieldtrip costs | | W | \$ - | \$ 15,000.00 | \$ 15,000.00 | \$ - | \$ - | \$ - | Voyager |
| NSF/Late pickup Fees | Bank service fee | | W | \$ - | \$ 250.00 | \$ 250.00 | \$ - | \$ - | \$ - | Voyager |
| interest | Bank service fee | | | \$ - | \$ 300.00 | \$ 300.00 | \$ - | \$ - | \$ - | Voyager |
| Miscellaneous Donation | Facilities costs | | DON | \$ - | \$ 1,000.00 | \$ 1,000.00 | \$ - | \$ - | \$ - | Voyager |
| School Fundraising | Facilities costs | | W | \$ - | \$ 15,000.00 | \$ 15,000.00 | \$ - | \$ - | \$ - | Voyager |
| Parents donation | Facilities costs | | w | \$ - | \$ 25,000.00 | \$ 25,000.00 | \$ - | \$ - | \$ - | Voyager |
| Friends of Waialae School | Teacher/Admin Support | | DON | \$ 1,236.68 | \$ 18,500.00 | \$ 19,736.68 | \$ - | \$ - | \$ - | Waialae |
| Donations | School Support | | DON | \$ 2,630.05 | \$ 50,000.00 | \$ 52,630.05 | \$ - | \$ - | \$ - | Waialae |
| Students/Parents | Field Trip, Meal Service, Shirts, Books, W+, Grade Level Fundraising, Yearbook | | W | \$ 9,890.00 | \$ 350,000.00 | \$ 349,890.00 | \$ - | \$ 10,000.00 | \$ - | Waialae |
| Fundraising | | | W | \$ 1,454.00 | \$ 1,454.00 | | | | | WOL |
| National school lunch program | | | W | \$ 1,432.00 | \$ 1,432.00 | | | | | WOL |
| School Fees and uniforms | | | W | \$ 1,207.00 | \$ 1,207.00 | | | | | WOL |
| Kamehameha Schools | Operating | | Don | \$ - | \$ 375,000.00 | \$ 375,000.00 | | \$ - | | Kanu |
| OHA | Operating | | Don | \$ 76,220.00 | \$ 72,230.00 | \$ 148,450.00 | | \$ - | | Kanu |
| School Store | Student Activities and supplies | | W | \$ 175,637.25 | \$ 15,000.00 | \$ 25,000.00 | | \$ 165,637.25 | | Kanu |
| Lunch Collection | Lunch costs | | W | \$ - | \$ 36,000.00 | \$ 36,000.00 | | \$ - | | Kanu |
| Fundraisers | To supplement operational funds | | DON | \$ 32,525.00 | | \$ 8,025.00 | | \$ 24,500.00 | | Volcano |
| Building Fund | Set aside for Capital Improvement | | DON | \$ 8,952.59 | | \$ - | | \$ 8,953.00 | | Volcano |
| Health Collaborative | For Health & First Aid supplies | | DON | \$ 487.54 | | \$ 488.00 | | \$ 200.00 | | Volcano |
| Bus | Collected from parents for bus fare | | W | \$ - | \$ 33,000.00 | \$ 57,056.89 | | \$ - | | Volcano |
| Lunch | Collected from parents for lunch fees | | W | \$ 650.35 | \$ 13,936.00 | \$ 118,220.00 | | \$ - | | Volcano |

Department of Education - Charter Schools
Non-General Fund Balances

Table 12

| Name of Fund | Purpose | Statutory Reference | MOF | Beginning FY13 Unencumbered Cash Balance | Estimated FY13 Revenues | Estimated FY13 Expenditures and Encumbrances | Estimated FY13 Net Transfers | Estimated FY13 Ending Unencumbered Cash Balance | Balance in Excess of Program Needs | |
|---|--|---------------------|-----|--|-------------------------|--|------------------------------|---|------------------------------------|---------|
| Supply Fees | Collected from parents to supplement classroom supply budget | | W | \$ - | \$ 533.00 | \$ 533.00 | | \$ - | | Volcano |
| Summer School | Summer School Payroll | | W | \$ 5.00 | \$ 31,270.00 | \$ 31,270.00 | | \$ 5.00 | | MBTA |
| General: Driver's Ed, Computer Fee | | | W | \$ - | \$ 5,215.00 | \$ 5,215.00 | | \$ - | | MBTA |
| Book Sale | | | W | \$ - | \$ 450.00 | \$ 450.00 | | \$ - | | MBTA |
| After School Programs | Art and Language | | W | \$ 223.00 | | \$ 223.00 | | \$ - | \$ - | WHEA |
| Community Fair | Student Projects | | DON | \$ 1,514.00 | | \$ 1,514.00 | | \$ - | \$ - | WHEA |
| HI Preparatory Academy | Aloha Kai/Fish Food | | DON | \$ 32.00 | | \$ 32.00 | | \$ - | \$ - | WHEA |
| Holualoa Elementary School | Aloha Kai/Fish Food | | DON | \$ 198.00 | | \$ 198.00 | | \$ - | \$ - | WHEA |
| Jack Tours | Aloha Kai/Fish Food | | DON | \$ 172.00 | | \$ 172.00 | | \$ - | \$ - | WHEA |
| Kahakai Elementary School | Aloha Kai/Fish Food | | DON | \$ 129.00 | | \$ 129.00 | | \$ - | \$ - | WHEA |
| Kamehameha School | Aloha Kai/Fish Food | | DON | \$ 80.00 | | \$ 80.00 | | \$ - | \$ - | WHEA |
| Kipapa Elementary School | Aloha Kai/Fish Food | | DON | \$ 204.00 | | \$ 204.00 | | \$ - | \$ - | WHEA |
| Kona Elks Club | Student Projects | | DON | \$ 2,119.00 | | \$ 2,119.00 | | \$ - | \$ - | WHEA |
| KTA Superstores | Student Projects | | DON | \$ 377.00 | | \$ 377.00 | | \$ - | \$ - | WHEA |
| Laie Elementary School | Aloha Kai/Fish Food | | DON | \$ 206.00 | | \$ 206.00 | | \$ - | \$ - | WHEA |
| Nautilus Project | Student Projects | | DON | \$ 4,000.00 | | \$ 4,000.00 | | \$ - | \$ - | WHEA |
| Small World School | Aloha Kai/Fish Food | | DON | \$ 150.00 | | \$ 150.00 | | \$ - | \$ - | WHEA |
| Student Lab Fees | Student Supplies | | W | \$ 6,006.00 | | \$ 6,006.00 | | \$ - | \$ - | WHEA |
| Waikoloa Elementary School | Aloha Kai/Fish Food | | DON | \$ 166.00 | | \$ 166.00 | | \$ - | \$ - | WHEA |
| Ho'okāko'o/Ho'olako Like | Provide support for charter school innovations | | DON | \$ 495,612 | \$ 433,500 | \$ 460,652 | | \$ 468,460 | \$ - | Waimea |
| Local School Fundraising/ Private Donations | Support school activities (i.e. Student Government, Eola Program,etc.) | | DON | \$ - | \$ 30,000 | \$ 30,000 | | \$ - | \$ - | Waimea |
| Student ID's | Fees collected for the replacement of Student ID's | | W | \$ - | \$ 455 | \$ 455 | | \$ - | \$ - | Waimea |
| Student Meal Collections | Fees for student meals | | W | \$ - | \$ 14,500 | \$ 14,500 | | \$ - | \$ - | Waimea |
| Ho'okāko'o/Ho'olako Like | Provide support for charter school innovations | | DON | \$ 901,637 | \$ 1,300,000 | \$ 1,700,000 | | \$ 501,637 | \$ - | Kamaile |
| Local School Fundraising/ Private Donations | Support school activities (i.e. Student Government, Eola Program,etc.) | | DON | | \$ 50,000 | \$ 50,000 | | \$ - | \$ - | Kamaile |
| Student ID's | Fees collected for the replacement of Student ID's | | W | \$ - | \$ 1,500 | \$ 1,500 | | \$ - | \$ - | Kamaile |
| Year Book Collections | Fees collected for yearbook | | W | \$ - | \$ 6,000 | \$ 6,000 | | \$ - | \$ - | Kamaile |

Department of Education - Charter Schools
Non-General Fund Balances

Table 12

| <u>Name of Fund</u> | <u>Purpose</u> | <u>Statutory Reference</u> | <u>MOF</u> | <u>Beginning FY13 Unencumbered Cash Balance</u> | <u>Estimated FY13 Revenues</u> | <u>Estimated FY13 Expenditures and Encumbrances</u> | <u>Estimated FY13 Net Transfers</u> | <u>Estimated FY13 Ending Unencumbered Cash Balance</u> | <u>Balance in Excess of Program Needs</u> | |
|---|--|----------------------------|------------|---|--------------------------------|---|-------------------------------------|--|---|-----------------|
| Ho'olako Like - KS | Academic Achievement, cultural knowledge & practice, 'ohana, community give-back, PD | | DON | \$ - | \$ 150,000 | \$ 150,000 | | | | Ke Ana La`ahana |
| OHA - Na Lei Na'auao | Math improvement, HSA, cultural teachings & practices, Na Papa Naue Loa, PD | | DON | \$ - | \$ 65,000 | \$ 65,000 | | | | Ke Ana La`ahana |
| Fundraising | General | | W | \$ - | \$ 1,000 | \$ 1,000 | | | | Ke Ana La`ahana |
| Payroll | | | \$481 | \$ 480,000 | \$ 480,000 | | | \$ - | | Ka Umeke |
| Kamehameha Schools Ho'olako Like funds | To support educational strategic plan | | Don | \$ - | \$ 202,500 | \$ 202,500 | \$ - | \$ - | \$ - | Kamakau |
| Kamehameha Schools Accreditation funds | To support accreditation activities | | Don | \$ - | \$ 70,000 | \$ 70,000 | \$ - | \$ - | \$ - | Kamakau |
| Misc. revenue (e.g. Student fees, special events, uniforms, meals, bank interest) | Misc. service fees charged by the school | | W | \$ - | \$ 39,735 | \$ 39,735 | \$ - | \$ - | \$ - | Kamakau |
| Kamehameha Schools Ho'olako Like funds | To support educational strategic plan | | Don | \$ - | \$ 355,500 | \$ 355,500 | \$ - | \$ - | \$ - | Halau Lokahi |
| OHA funds | To support educational strategic plan | | Don | \$ - | \$ 94,800 | \$ 94,800 | \$ - | \$ - | \$ - | Halau Lokahi |
| Misc. revenue (e.g. Student fees, special events, uniforms, meals, bank interest) | Misc. service fees charged by the school | | W | \$ - | \$ 39,520 | \$ 39,520 | \$ - | \$ - | \$ - | Halau Lokahi |
| Office of Hawaiian Affairs | student bus service to and from school | | | \$ - | \$ 113,700 | \$ 181,495 | \$ 113,700 | | | Kawaihona |
| KSBE | to provide add'l Resource and Support Personnel in the classroom | | | \$ - | \$ 855,000 | \$ 1,044,618 | \$ 855,000 | | | Kawaihona |
| Field Trips | Bus transportation | | W | \$ - | \$ 5,000 | \$ 9,500 | \$ 5,000 | | | Kawaihona |
| Fundraising | Events & Activities | | W | \$ - | \$ 5,000 | \$ 52,000 | \$ 5,000 | | | Kawaihona |
| Uniforms | Uniforms | | W | \$ - | \$ 17,500 | \$ 30,000 | \$ 17,500 | | | Kawaihona |
| Other Merchandise | Recorders, ID's, | | W | \$ - | \$ 5,000 | \$ 5,000 | \$ 5,000 | | | Kawaihona |
| | | | | | \$ 1,000 | \$ 1,000 | | | | Kawaihona |

Department of Education - Charter Schools
Positions Vacant as of November 30

Table 13

| <u>Prog ID</u> | <u>Date of Vacancy</u> | <u>Position Number</u> | <u>Position Title</u> | <u>SR Level</u> | <u>BU Code</u> | <u>Perm Temp (P/T)</u> | <u>FTE</u> | <u>MOF</u> | <u>Budgeted Amount</u> | <u>Actual Salary Last Paid</u> | <u>Exempt (Y/N)</u> | <u>Authority to Hire (Y/N)</u> | <u>Occupied by 89 Day Hire (Y/N)</u> | <u># of 89 Hire Appointments</u> | |
|----------------|------------------------|------------------------|---------------------------------|-----------------|----------------|------------------------|------------|------------|------------------------|--------------------------------|---------------------|--------------------------------|--------------------------------------|----------------------------------|-------------|
| EDN600/551 | 10/31/2012 | N/A | Counselor - High School | T03-05 | 5 | P | 1.00 | A | \$ 46,609 | \$ 46,609 | N | Y | N | | HTA |
| 15137/546 | 7/27/2012 | N/A | Secondary Teacher/Advisor | T0306 | 5 | P | 1.00 | A | \$ 45,608 | \$ - | | Y | N | | HLC |
| 15137/149 | 7/25/2011 | C1037 | Technology Facilitator | W0403 | 5 | P | 1.00 | G | \$ 54,305 | \$ 54,305 | N | Y | N | 0 | Waialae |
| 15137/149 | 10/1/2011 | 59926 | Account Clerk II | SR08/A | 3 | P | 1.00 | G | \$ 27,828 | \$ 27,828 | N | Y | N | 0 | Waialae |
| EDN600/548 | 6/30/2012 | 801389 | PCS Educ Asst III | SR10/A | 3 | T | 0.50 | G | \$ 11,565 | \$ 11,565 | Y | N/A | N | | Innovations |
| EDN600/548 | 6/30/2012 | 802790 | SPED EA | SR10/A | 3 | T | 1.00 | G | \$ 24,148 | \$ 24,148 | Y | | N | | Innovations |
| EDN600/548 | 6/30/2012 | 802300 | PCS Office Services Coordinator | SRNA | 13 | T | 1.00 | G | \$ 39,996 | \$ 39,996 | Y | | N | | Innovations |
| EDN600/548 | 8/8/2011 | 116649 | SASA III | SR16 | 3 | T | 1.00 | G | \$ 35,064 | \$ 35,064 | Y | | N | | Innovations |
| EDN600/548 | 7/23/2009 | C5256 | Elem-Sec. Teacher | T03-01 | 5 | T | 0.50 | G | \$ 17,665 | \$ 17,665 | Y | | N | | Innovations |
| EDN600/548 | 8/7/2012 | C5066 | Elem-Sec. Teacher | T03-06 | 5 | T | 1.00 | G | \$ 32,222 | \$ 32,222 | Y | | N | | Innovations |
| EDN600/548 | 7/25/2008 | C5255 | Elem-Sec. Teacher (meg) | T02-01 | 5 | T | 0.50 | G | \$ 15,728 | \$ 15,728 | Y | | N | | Innovations |
| EDN600/548 | 6/30/2012 | C5259 | Counselor | T02-01 | 5 | T | 1.00 | G | \$ 48,008 | \$ 48,008 | Y | | N | | Innovations |
| 15137/399 | 7/23/2012 | c5275 | Secondary Teacher | P02-01 | 5 | P | 1.00 | A | \$ 32,713 | \$ - | Y | Y | N | N/A | WHEA |
| EDN600/394 | N/A | TBD | Vice Principal 2 | EO4 | 6 | T | 1.00 | | \$ 80,000 | n/a | Y | Y | N | | Waimea |
| EDN600/275 | N/A | TBD | Secondary Teacher (Math) | | 5 | P | 1.00 | | \$ - | n/a | Y | Y | N | | Kamaile |
| 17131/562 | | 58918 | EA-1 | | | | | | | \$ 987 | | | y | 1 | Ka Umeke |
| EDN600/547 | 10/2/2012 | 547901 | PCS Custodian I | BC02 | 1 | P | 0.85 | A | \$ 28,244 | \$ 9,670 | N | Y | Y | 1 | Kamakau |
| EDN600 | 8/15/2012 | 116963 | PCS Clerk Typist | | 3 | T | 1.00 | A | \$ 21,096 | \$ 2,637 | N | N | N | | Kawaihona |

Department of Education - Charter Schools
Overtime Expenditures

Table 14

| Prog ID | Program Title | MOF | FY12 (actual) | | | FY13 (estimated) | | | FY14 (budgeted) | | | | |
|---------|---------------------------------|-----|-------------------------|----------------------|---------------------|-------------------------|----------------------|---------------------|-------------------------|----------------------|---------------------|--------------|--|
| | | | Base Salary \$\$\$\$ | Overtime \$\$\$\$ | Overtime Percent | Base Salary \$\$\$\$ | Overtime \$\$\$\$ | Overtime Percent | Base Salary \$\$\$\$ | Overtime \$\$\$\$ | Overtime Percent | | |
| EDN600 | | | \$ 1,841,698 | \$ - | 0.0% | \$ 1,748,347 | \$ - | 0.0% | \$ 1,748,347 | \$ - | 0.0% | Waimea | |
| EDN600 | Charter Schools State Per Pupil | | \$ 5,370,081 | \$ 94,084 | 1.8% | \$ 6,057,669 | \$ 30,000 | 0.5% | \$ 6,057,669 | \$ - | 0.0% | Kaunoi | |
| EDN600 | Charter Schools State Per | A | \$ 333,065 | \$ 24,833 | 7.5% | \$ 685,569 | \$ 51,418 | 7.5% | \$ 661,994 | \$ 25,000 | 3.8% | Kamakau | |
| n/a | Donations | DON | \$ 502,833 | \$ - | 0.0% | \$ 251,640 | \$ - | 0.0% | \$ 250,215 | \$ - | 0.0% | Kamakau | |
| n/a | Federal Native Hawaiian E | N | \$ 142,439 | \$ 26,960 | 18.9% | \$ 116,070 | \$ 5,804 | 5.0% | \$ 116,070 | \$ 5,804 | 5.0% | Kamakau | |
| EDN600 | Charter Schools State Per | A | \$ 355,309 | \$ 32,696 | 9.2% | \$ 599,414 | \$ 55,159 | 9.2% | \$ 949,214 | \$ 87,348 | 9.2% | Halau Lokahi | |
| n/a | Donations | DON | \$ 395,035 | \$ 477 | 0.1% | \$ 450,300 | \$ - | 0.0% | \$ 400,500 | \$ - | 0.0% | Halau Lokahi | |

Department of Education - Charter Schools
Overpayments as of November 30

Table 15

| Name | Date of Over- payment | Gross Amount Overpaid | Amount Recovered | Balance | Category | | | | Reason for Overpayment | Referred to Attorney General | | |
|------|--------------------------|-----------------------------|---------------------|-------------|-----------------------------------|-----------------------------------|--|--|---------------------------|---------------------------------------|--------------|--|
| | | | | | Employed Occurred > 2 Years | Employed Occurred < 2 Years | Not Employed Occurred > 2 Years | Not Employed Occurred < 2 Years | | | | |
| | 09/20/12 | \$ 1,721.50 | \$ 320.00 | \$ 1,401.50 | | X | | | Paid at wrong rate | | Kona Pacific | |

Department of Education - Charter Schools
Active Contracts

Table 16

| Prog ID | MOF | Frequency | | Max Value | Outstanding Balance | Term of Contract | | | Organization | Category E/L/P/C/ G/S | Description | Explanation of How Contract is Monitored | POS Y/N |
|------------|-----|------------|---------|-----------------|---------------------|------------------|----------|----------|--------------------------|-----------------------------|---|---|------------|
| | | Amount | (M/A/O) | | | Date Executed | From | To | | | | | |
| EDN600/551 | A | \$ 18,999 | M | \$ 683,964.00 | \$ 180,981.00 | 07/01/11 | 08/01/11 | 07/31/14 | GO Financial Corporation | L | Oahu Learning Center lease | Bus. Mgr./Prop. Mgr./ LSB Audit & Finance Committee | Y |
| EDN600/551 | A | \$ 524 | M | \$ 12,565.44 | \$ 9,424.08 | 07/01/12 | 07/01/12 | 06/30/14 | G.Takayesu & Son Ltd. | L | Oahu Admin. Office lease | Bus. Mgr./Prop. Mgr./ LSB Audit & Finance Committee | Y |
| EDN600/551 | A | \$ 2,700 | M | \$ 64,800.00 | \$ 16,200.00 | 08/01/11 | 07/01/11 | 06/30/13 | Princeville SC LLC | L | Kauai Learning Center lease | Bus. Mgr./Prop. Mgr./ LSB Audit & Finance Committee | Y |
| EDN600/551 | A | \$ 23,504 | M | \$ 846,144.00 | \$ 117,520.00 | 07/01/10 | 07/01/10 | 06/30/13 | TEQlease | E | Apple Computer lease #1; 850 computers | Bus. Mgr./Leasing Mgr./ Computer Tech./ LSB Audit & Finance Committee | Y |
| EDN600/551 | A | \$ 4,498 | M | \$ 161,928.00 | \$ 76,466.00 | 07/01/11 | 07/01/11 | 06/30/14 | Somerset Leasing Corp. | E | Apple Computer lease #2; 150 computers | Bus. Mgr./Leasing Mgr./ Computer Tech./ LSB Audit & Finance Committee | Y |
| EDN600/551 | A | \$ 336,000 | M | \$ 4,032,000.00 | \$ 2,016,000.00 | 07/01/08 | 07/01/08 | 06/30/14 | K12, Inc. | S | Curriculum OLS, Teaching Materials, Mgmt. and Tech. | Bus. Mgr./K12 Inc. corporate/LSB Audit & Finance Committee | Y |

Department of Education - Charter Schools
Active Contracts

Table 16

| Prog ID | MOF | Amount | (M/A/O) | Max Value | Outstanding Balance | Date Executed | From | To | Organization | E/L/P/C/G/S | Description | How Contract is Monitored | POS Y/N |
|------------|-------|--|--------------------------|---------------|---------------------|---------------|----------|----------|-----------------------------|-------------|--|--|---------|
| EDN600/551 | A | \$ 214 | M | \$ 7,686.72 | \$ 6,405.60 | 07/01/12 | 07/01/12 | 06/30/15 | GE Capital | E | Copier lease | Office Mgr./Leasing Mgr. | Y |
| EDN600/551 | A | \$ 45 | M | \$ 1,620.00 | \$ 1,215.00 | 07/01/12 | 07/12/12 | 06/30/15 | Advanced Protection Network | S | Alarm system; Oahu Admin. Office | Bus. Mgr./Leasing Mgr. | Y |
| EDN600/546 | AWN | Per lunch 4.35-5.40 per breakfast 3.55 | M - For meals ordered | | | 09/01/12 | 09/01/12 | 05/31/13 | Lanakila Kitchen | S | Packaged Breakfast and Lunch Meals | Meal charges are crossed checked with meals records of meals ordered/ received | Y |
| EDN600/546 | A | \$ 510 | M | \$ 30,590.00 | \$ 15,834.00 | 07/20/11 | 08/01/11 | 07/13/16 | Xerox | E | Lease Xerox Copier sCQ9301 | Billed monthly - check against meter reading | N |
| EDN600/546 | A | \$ 157 | M | \$ 156.75 | \$ - | 12/15/08 | month | month | Central Self Storage | L | Storage Space for archived documents | Billed and paid monthly | N |
| EDN600/546 | A/don | \$ 15,340 | A | \$ 15,340.31 | \$ - | 09/04/12 | 09/04/12 | 09/03/13 | e2020 (education 2020) | S | 1 year user license - Education 2020 online curriculum | Monitored by educational and technical staff | Y |
| EDN600/546 | A | \$ 840 | M | \$ 10,080.00 | \$ 5,040.00 | 07/01/12 | 07/01/12 | 06/30/13 | k & M Janitorial Service | S | Cleaning of 3 cottages and 1 office once a week | Monitored by office staff | Y |
| EDN600/546 | A | Per payroll fees | O - semi monthly charges | \$ 2,500.00 | \$ - | 10/01/03 | year | year | Ceridian Payroll Service | S | Process payroll and taxes semi montly | Monitored monthly by Fiscal Manager | Y |
| EDN600/566 | | \$ 500 | M | \$ 5,998.32 | \$ - | 07/09/12 | 07/09/12 | 06/09/17 | Ricoh | E | Copier Lease | | N |
| EDN600/566 | | \$ 13,750 | M | \$ 164,998.80 | \$ - | 10/28/11 | 10/28/11 | 10/28/51 | Friends of KPPCS | L | Campus Lease | | N |
| 15137/396 | A | \$30,000 | M | \$ 360,000.00 | \$ - | | | open | DayLum Management | L | Rental Space for K-8 | By the school | |
| 15137/396 | A | \$10,000 | M | \$ 120,000.00 | \$ - | 07/01/12 | 07/01/12 | 06/30/14 | Glory Nani Mau | L | Rental Space for 9-12 | Business | |
| 15137/396 | A | \$500 | M | NA | \$ - | | | open | L&K Services | S | Cleaning | Manager | |

Department of Education - Charter Schools
Active Contracts

Table 16

| Prog ID | MOF | Amount | (M/A/O) | Max Value | Outstanding Balance | Date Executed | From | To | Organization | E/L/P/C/ G/S | Description | How Contract is Monitored | POS Y/N |
|------------|-------|-------------|-------------|---------------|---------------------|---------------|----------|----------|-----------------------------|-----------------|--|-------------------------------|---------|
| 15137/396 | A | \$5,100 | O- 2 pmts | \$ 10,200.00 | \$ - | 07/31/12 | 07/31/12 | 05/29/13 | Cyril Pahinui | S | Music Lessons/Instructional | | |
| 15137/396 | A | \$177 | bi monthly | NA | \$ - | 12/28/11 | 12/28/11 | open | Hilo Air Conditioning | S | AC Maintenance | | |
| 15137/396 | A | \$233 | bi monthly | NA | \$ - | | | open | Rainbow Isle Refrigeration | S | AC Maintenance | | |
| 15137/396 | A | \$492 | M | NA | \$ - | 10/10/03 | 10/10/03 | open | Countryside Express | S | Trash Removal | | |
| 15137/396 | A | \$55 | M | NA | \$ - | 07/01/01 | 07/01/01 | open | Alert Alarm | S | Alarm Services | | |
| 15137/396 | A | \$78 | M | NA | \$ - | 12/17/08 | 12/17/08 | open | Bowman | S | Pest Control | | |
| 15137/396 | A | \$234 | emi monthly | NA | \$ - | | | open | Ceridian | S | Payroll Services | | |
| 15137/396 | A,N,W | \$3,500 | O- Weekly | NA | \$ - | 07/01/12 | 07/01/12 | 06/30/13 | Boyd Enterprises | G | Meal Vendor | | |
| 15137/396 | A | \$500 | M | \$ 6,000.00 | \$ 3,000.00 | 07/01/12 | 07/01/12 | 06/30/13 | Cheryl Gravela | S | Book Keeping | | |
| | | | | | | | | | | | | | |
| 15137/541 | A | \$ 865.00 | Monthly | | \$ - | 09/28/10 | 11/08/10 | 11/07/15 | IKON | E | Equipment rental and service | " | Y |
| 15137/541 | A | | Monthly | | \$ - | 07/15/11 | 07/31/11 | 07/30/12 | Anago | S | Cleaning | " | Y |
| 15137/541 | A | | Annual | | \$ - | 08/25/10 | 07/01/11 | 06/30/12 | MOU with DOE | S | Courier Service with DOE | " | Y |
| 15137/541 | A | | Monthly | | \$ - | 06/02/12 | 06/02/12 | 07/31/13 | Food Service | S | Aliiolani School Break/Lunch meals | " | Y |
| 15137/541 | A | | Monthly | | \$ - | 09/03/10 | 06/01/10 | 07/31/13 | Voyager School Fnd | L | Rent/Lease | " | No |
| 15137/541 | A | | Monthly | | \$ - | 07/01/10 | | | Howe Stidger | S | Counseling | " | Y |
| 15137/541 | A | | Monthly | | \$ - | none | | | Oahu Computer | S | Networking | " | Y |
| 15137/541 | A | | Monthly | | \$ - | none | | | Hawaiian Telcom | S | Phones | " | Y |
| 15137/541 | A | | Monthly | | \$ - | 06/27/11 | 07/01/11 | 06/30/13 | Oceanic Cable | S | Internet | " | Y |
| | | | | | | | | | | | | | |
| EDN600/149 | G | \$ 8,280 | O/2X | \$ 16,560.00 | \$ 8,280.00 | 06/15/12 | 07/21/12 | 06/30/13 | Pacific American Foundation | S | Science Training & Consultation | By CEO | Y |
| EDN600/149 | G | \$ 8,901 | O/3X | \$ 26,701.56 | \$ 8,701.56 | 08/15/12 | 08/15/12 | 12/31/12 | Jeffrey W. Piontek | S | Technology Infrastructure & Instructional Technology | By CEO | Y |
| EDN600/149 | G | \$ 1,693 | M | \$ 101,587.80 | \$ 16,931.30 | 09/24/09 | 09/24/09 | 09/24/13 | Ricoh America | L | 3 Copiers & 1 Fax Machine | Equipment Efficiency by Staff | N |
| | | | | | | | | | | | | | |
| 398 | A | \$14,700.00 | A | \$ 14,700.00 | \$ 84,000.00 | 05/22/12 | 05/22/12 | 05/31/13 | HIDOE-School Food Sv | G/S | Student Meals-Delivery Services | Checklists/Invoices | Y |
| 398 | A | \$360/mo | M | \$ 8,640.00 | \$ 4,320.00 | 12/11/11 | 12/11/11 | 12/11/13 | Xerox | G | Copy machines | Monthly bill | Y |
| 398 | A | \$3,600/mo | M | \$ 129,600.00 | \$ 111,600.00 | 07/01/11 | 07/01/12 | 06/30/15 | St. Theresa Parish | E | Facilities Rental | Monthly inv/review | N |
| 398 | A | \$3200/mo | M | \$ 25,600.00 | \$ 19,200.00 | 09/19/12 | 09/16/12 | 05/17/13 | M Kealamakia | S | Testing Coordinator | Timesheet/Sign-in | Y |

Active Contracts

| Prog ID | MOF | Amount | (M/A/O) | Max Value | Outstanding Balance | Date Executed | From | To | Organization | E/L/P/C/ G/S | Description | How Contract is Monitored | POS Y/N |
|------------|-----|-----------|---|-----------------|---------------------|----------------|----------|----------|--------------------------------------|-----------------|---|---|---------|
| 398 | A | \$850/mo | M | \$ 8,500.00 | \$ 5,950.00 | 08/10/12 | 08/10/12 | 06/30/13 | Carbonaro CPA & Ass | S | Accounting Services | Monthly Bill | Y |
| EDN600/548 | | \$ 733 | M | \$ 8,796.00 | \$ - | 08/07/09 | 08/07/09 | 08/06/14 | Ricoh | E | Copier Lease | Annual Audit | N |
| EDN600/548 | | \$ 362 | M | \$ 4,344.00 | \$ - | 12/17/10 | 12/17/10 | 12/16/15 | Ricoh | E | Copier Lease | Annual Audit | N |
| EDN600/548 | | \$ 30,000 | M | \$ 360,000.00 | \$ - | 06/01/12 | 06/01/12 | 05/31/52 | Innovations PCS Foundation | L | Program Occupancy Assessment Agreement | Annual Audit | N |
| EDN600/544 | A | \$ 21,730 | M | \$ 1,123,900.00 | \$ 1,015,255.00 | 05/15/12 | 05/01/12 | 06/30/16 | YWCA | L | Facility Lease - Honolulu | Contract Policy | N |
| EDN600/544 | A | \$ 919 | M | \$ 73,136.00 | \$ 919.00 | 12/21/07 | 01/30/08 | 01/30/13 | Xerox | E | Copier/Printer Equipment | Contract Policy | N |
| EDN600/544 | A | \$ 193 | M | \$ 11,580.00 | \$ 11,193.52 | 12/07/12 | 07/19/12 | 07/19/17 | Xerox | E | Copier/Printer Equipment | Contract Policy | N |
| EDN600/544 | A | \$ 12,500 | A | \$ 18,750.00 | \$ - | 01/09/12 | 01/09/12 | 06/30/13 | Hawaii State Department of Education | S | Web conferencing services for faculty and students | Contract Policy | N |
| EDN600/544 | A | \$ 12,500 | A | \$ 25,000.00 | \$ - | 09/02/11 | 09/15/11 | 09/14/13 | Monterey Inst. For Tech & Educ | S | Online Curriculum | Contract Policy | N |
| EDN600/544 | A | \$ 888 | M | \$ 21,311.00 | \$ 19,979.00 | 11/09/11 | 12/15/12 | 12/14/14 | SystemMetrics | S | Server Facility | Contract Policy | N |
| EDN600/544 | A | \$ 4,669 | M | \$ 168,088.00 | \$ 130,736.00 | 05/11/12 | 05/11/12 | 05/10/15 | SystemMetrics | S | Internet, VOIP Phone, Service, Backup | Contract Policy | N |
| 15137/399 | A | \$ 615 | M | \$ 36,901.00 | \$ 33,826.00 | 06/07/12 | 09/01/12 | 08/31/17 | Ricoh | L | Copier | Yearly Review | N |
| 15137/399 | A | \$ 1,407 | M | | | Month to Month | | | State of HI- NELHA | L | Lease Rent | Yearly Review | N |
| 15137/394 | N | \$ 20,000 | M | \$ 20,000.00 | \$ 11,679.65 | 10/03/12 | 08/01/12 | 05/31/13 | Andelle Keala Kahuanui | C | Provide Ike Hawai'i instruction and professional development for faculty and students | Waimea has established internal procedures to monitor contracts | Y |
| 15137/394 | N | \$ 25,000 | O - Upon completion of work and invoice | \$ 25,000.00 | \$ 25,000.00 | 07/01/11 | 07/01/12 | 06/30/13 | N&K CPA's | C | Perform annual financial audit, including Single Audit | Waimea has established internal procedures to monitor contracts | Y |

Department of Education - Charter Schools
Active Contracts

Table 16

| <u>Prog ID</u> | <u>MOF</u> | <u>Amount</u> | <u>(M/A/O)</u> | <u>Max Value</u> | <u>Outstanding Balance</u> | <u>Date Executed</u> | <u>From</u> | <u>To</u> | <u>Organization</u> | <u>E/L/P/C/G/S</u> | <u>Description</u> | <u>How Contract is Monitored</u> | <u>POS Y/N</u> |
|----------------|------------|---------------|----------------|------------------|----------------------------|----------------------|-------------|-----------|---------------------|--------------------|--|--|----------------|
| 15137/394 | N | \$ 814 | A | \$ 814.32 | \$ - | 07/01/12 | 07/01/12 | 06/30/13 | Alert Alarm Hawaii | S | Security Alarm | WMS has established internal controls to monitor contracts | Y |
| 15137/394 | N | \$ 17,000 | M | \$ 17,000.00 | \$ 8,291.26 | 10/01/11 | 07/01/12 | 06/30/13 | Xerox Corporation | E | Xerox Machines and Services | WMS has established internal controls to monitor contracts | N |
| 15137/394 | N | \$ 12,647 | A | \$ 12,647.00 | \$ 11,647.00 | 06/30/12 | 07/01/12 | 06/30/13 | Achieve 3000 | G | Literacy Program | WMS has established internal controls to monitor contracts | N |
| 15137/394 | N | \$ 1,500 | M | \$ 1,500.00 | \$ 750.00 | 06/27/10 | 07/01/12 | 06/30/12 | Orkin Pest Control | S | Termite/Bug Specialist | WMS has established internal controls to monitor contracts | Y |
| 15137/275 | | \$ 8,208 | M | \$ 8,208.20 | \$ 5,863.00 | 10/03/12 | 10/03/12 | 02/28/13 | Russ Woosley | C | Provide technical support to eSIS team to review and update student information/registration files | Kamaile has established internal procedures to monitor contracts | Y |

Department of Education - Charter Schools
Active Contracts

Table 16

| <u>Prog ID</u> | <u>MOF</u> | <u>Amount</u> | <u>(M/A/O)</u> | <u>Max Value</u> | <u>Outstanding Balance</u> | <u>Date Executed</u> | <u>From</u> | <u>To</u> | <u>Organization</u> | <u>E/L/P/C/G/S</u> | <u>Description</u> | <u>How Contract is Monitored</u> | <u>POS Y/N</u> |
|----------------|------------|---------------|-----------------------------|------------------|----------------------------|----------------------|-------------|-----------|-------------------------------|--------------------|---|--|----------------|
| SIG/275 | | \$ 3,875 | O - upon completion of work | \$ 3,875.00 | \$ - | 09/13/12 | 09/13/12 | 10/31/12 | Mr. D Math, LLC | C | Provide math/test prep professional development to teachers K-12 | Kamaile has established internal procedures to monitor contracts | Y |
| SIG/275 | | \$ 80,000 | O - upon completion of work | \$ 80,000.00 | \$ 47,800.00 | 07/30/12 | 07/01/12 | 06/30/13 | Envision | C | Provide technical support and curriculum design for Grades 7-12 | Kamaile has established internal procedures to monitor contracts | Y |
| 275 | | \$ 40,000 | M | \$ 40,000.00 | \$ 22,307.38 | 07/30/12 | 07/01/12 | 06/30/13 | Pua Gomes | C | Provide Ike Hawai'i instruction; develop Project Based Learning Ike units | Kamaile has established internal procedures to monitor contracts | Y |
| 15137/275 | | \$ 82,000 | M | \$ 82,000.00 | \$ 49,757.38 | 07/12/12 | 07/01/12 | 06/30/13 | Cyberwest Consulting Services | C | Provide IT support and technology training to all staff | Kamaile has established internal procedures to monitor contracts | Y |
| SIG/275 | | \$ 23,500 | M | \$ 23,500.00 | \$ 14,500.00 | 12/07/12 | 09/15/12 | 06/30/13 | Brett Staib | C | Develop an eBook database system for pay for performance model | Kamaile has established internal procedures to monitor contracts | Y |

Department of Education - Charter Schools
Active Contracts

| Prog ID | MOF | Amount | (M/A/O) | Max Value | Outstanding Balance | Date Executed | From | To | Organization | E/L/P/C/ G/S | Description | How Contract is Monitored | POS Y/N |
|------------|-----|-----------------|---|--------------|---------------------|---------------|----------|----------|--------------------|-----------------|--|--|---------|
| 15137/275 | | \$ 28,000 | O - Upon completion of work and invoice | \$ 28,000.00 | \$ 28,000.00 | 07/01/11 | 07/01/12 | 06/30/13 | N&K CPA's | C | Perform annual financial audit, including Single Audit | Kamaile has established internal procedures to monitor contracts | Y |
| 15137/275 | A | ed: \$1,000,000 | O - Per Plate Charge | | | 01/25/11 | 07/01/12 | 06/30/13 | Sodexo | S | Food Service for breakfast, lunch and snacks | Kamaile has established internal controls to monitor contracts | Y |
| 275 | A | \$ 6,000 | A | \$ 3,000.00 | \$ - | 07/01/12 | 07/01/12 | 06/30/13 | Teach For America | S | Educational Professional Services | Kamaile has established internal controls to monitor contracts | Y |
| 15137/275 | A | \$ 6,000 | A / M | \$ 6,000.00 | \$ 1,287.00 | 07/01/12 | 07/01/12 | 06/30/13 | Sonitrol of Hawaii | S | Alarm System | Kamaile has established internal controls to monitor contracts | Y |
| 15137/275 | A | \$ 2,750 | M | \$ 2,750.00 | \$ 1,611.00 | 07/01/12 | 07/01/12 | 06/30/13 | Copesan | S | Pest Control Services | Kamaile has established internal controls to monitor contracts | Y |
| EDN600/549 | PPA | \$ 1,200 | monthly | \$14,400.00 | | 07/01/12 | July | 06/01/13 | | | IT | monthly | |
| 549 | OHA | \$ 3,000 | monthly | \$30,000.00 | | 08/01/12 | August | 05/30/14 | | | Na Papa Naue Loa | monthly | |
| EDN600/549 | PPA | \$ 100 | monthly | \$1,200.00 | | 03/01/12 | April | 03/30/13 | | | license fee | monthly | |
| EDN600/549 | PPA | \$ 556 | monthly | \$6,672.00 | | 06/30/12 | June | 05/31/13 | | | storage fee | monthly | |

Department of Education - Charter Schools
Active Contracts

Table 16

| <u>Prog ID</u> | <u>MOF</u> | <u>Amount</u> | <u>(M/A/O)</u> | <u>Max Value</u> | <u>Outstanding Balance</u> | <u>Date Executed</u> | <u>From</u> | <u>To</u> | <u>Organization</u> | <u>E/L/P/C/G/S</u> | <u>Description</u> | <u>How Contract is Monitored</u> | <u>POS Y/N</u> |
|----------------|------------|---------------|-------------------------------------|------------------|----------------------------|----------------------|-------------|-----------|-------------------------|--------------------|-------------------------------------|---|----------------|
| EDN600/547 | A | \$ 39,247 | A | \$39,247 | \$9,137 | 10/21/12 | 10/21/12 | 06/30/13 | SchoolRise | S | Contract for Educational Service | Invoices and services performed are verified with deliverables stated on contract | Y |
| EDN600/547 | A | \$ 585 | M | \$35,100 | \$0 | 05/25/11 | 05/25/11 | 04/30/16 | Marlin Business Bank | E | Lease agreement for 3 copy machines | Invoices and services performed are verified with deliverables stated on contract | N |
| EDN600/547 | A | \$ 513 | Quarterly | \$9,234 | \$0 | 04/10/11 | 04/10/11 | 09/30/15 | Pitney Bowes | E | Lease agreement for postal machine | Invoices and services performed are verified with deliverables stated on contract | N |
| EDN600/547 | A | \$ 12,009 | M | \$7,277,303 | \$0 | 02/01/10 | 02/01/10 | 08/31/60 | Kai Loa | L | Rental agreement for facilities | Annual Review | N |
| EDN600/547 | A | \$ 13,500 | O-One time payment based on invoice | \$27,000 | \$0 | 10/11/12 | 10/11/12 | N/A | Choo, Osada & Lee CPA's | S | Audit fees | Invoices and services performed are verified with deliverables stated on contract | Y |

Department of Education - Charter Schools
Active Contracts

Table 16

| <u>Prog ID</u> | <u>MOF</u> | <u>Amount</u> | <u>(M/A/O)</u> | <u>Max Value</u> | <u>Outstanding Balance</u> | <u>Date Executed</u> | <u>From</u> | <u>To</u> | <u>Organization</u> | <u>E/L/P/C/G/S</u> | <u>Description</u> | <u>How Contract is Monitored</u> | <u>POS Y/N</u> |
|----------------|------------|---------------|----------------|------------------|----------------------------|----------------------|-------------|----------------|---|--------------------|------------------------------|---|----------------|
| EDN600/542 | A | \$ 4,350 | M | \$37,800 | \$0 | 10/01/12 | 10/01/12 | 06/30/13 | Charter School Management Corp | S | Business back office support | Invoices and services performed are verified with deliverables stated on contract | Y |
| EDN600/542 | A | \$ 24,000 | M | \$288,000 | \$0 | 07/01/12 | 07/01/12 | 06/30/13 | Waiakamilo Properties | L | Rental for facilities | Annual Review | N |
| EDN600/542 | A | \$ 1,083 | M | \$13,000 | \$0 | 08/04/12 | 08/04/12 | 07/31/13 | Genius | S | Online curriculum services | Invoices and services performed are verified with deliverables stated on contract | Y |
| EDN600/542 | A | \$ 1,511 | M | \$16,623 | \$0 | 08/01/12 | 08/01/12 | 06/30/13 | Connections | S | Online curriculum services | Invoices and services performed are verified with deliverables stated on contract | Y |
| EDN600/734 | A | \$ 439 | M | | | | | | Xerox Corporation | | Xerox Machines and Services | Base rent | |
| EDN600/734 | A | \$ 7,286 | M | | | | | 08/2011 | 1103, LLC | | Office Lease | Base rent plus CAM | |
| EDN600/734 | A | \$ 510 | M | | | | | Month to Month | Natural Energy Laboratory of Hawaii Authority | | Office Lease | Base rent | |

Department of Education - Charter Schools
CIP Requests

Table 17

| Prog ID | Prog ID Priority | Dept- Wide Priority | Project Title | MOF | FY14 \$\$\$ | FY15 \$\$\$ |
|---------|---------------------|---------------------------|--|-----|--------------|---------------|
| EDN600 | 1 | 1 | KAWAIKINI PCS PHOTOVOLTAIC PANEL ARRAY, KAUAI | C | | \$ 216,000 |
| EDN600 | 2 | 2 | VOLCANO PCS PHOTOVOLTAIC PANEL ARRAY, HAWAII | C | | \$ 85,000 |
| EDN600 | 3 | 3 | WEST HAWAII EXPLORATIONS ACADEMY PCS CAMPUS RELOCATION, HAWAII | C | \$ 5,000,000 | \$ 5,000,000 |
| EDN600 | 4 | 4 | THE EDUCATION LABORATORY PCS REPLACEMENT BUILDING, OAHU | C | \$ 2,000,000 | \$ 15,000,000 |
| EDN600 | 5 | 5 | KAWAIKINI PCS SAFETY LIGHTING AND ACCESSIBILITY, KAUAI | C | | \$ 204,000 |
| EDN600 | 6 | 6 | KAWAIKINI PCS LIBRARY AND COMPUTER LAB, KAUAI | C | | \$ 500,000 |
| EDN600 | 7 | 7 | KANU O KA AINA NCPES CAFETERIA, HAWAII | C | \$ 3,746,000 | |

Department of Education - Charter Schools
CIP Lapses

Table 18

| <u>Prog ID</u> | <u>Act/Year of Appropriation</u> | <u>Project Title</u> | <u>MOE</u> | <u>Amount \$\$\$\$</u> | <u>Reason</u> |
|----------------|----------------------------------|----------------------|------------|------------------------|---------------|
| None | | | | | |

Department of Education - Charter Schools
Division Resources - Listing of Charter Schools And Their ORGIDs

Table 19

| <u>Division</u> | <u>Associated Program IDs</u> | | | | | |
|----------------------------|-------------------------------|--|--|--|--|--|
| WAIALAE-PCS | 149 | | | | | |
| KAMAILE | 275 | | | | | |
| LANIKAI-PCS | 320 | | | | | |
| WAIMEA MID-PCCS | 394 | | | | | |
| LAUPAHOEHOE | 377 | | | | | |
| CONNECTIONS-PCS | 396 | | | | | |
| KANU O KA'AINA-PCS | 397 | | | | | |
| WATERS OF LIFE-PCS | 398 | | | | | |
| WEST HI EXPLOR ACAD-PCS | 399 | | | | | |
| KUALAPUU | 411 | | | | | |
| KANAKA-PCS | 466 | | | | | |
| HALAU KU MANA-PCS | 540 | | | | | |
| VOYAGER-PCS | 541 | | | | | |
| HALAU LOKAHI NC-PCS | 542 | | | | | |
| EDUC LAB: A HAWAII NC-PCS | 543 | | | | | |
| MYRON THOMPSON ACAD-PCS | 544 | | | | | |
| KA WAIHONA O KA NAAUAO-PCS | 545 | | | | | |
| HAKIPU'U LEARNING CTR-PCS | 546 | | | | | |
| KE KULA O KAMAKAU LAB-PCS | 547 | | | | | |
| INNOVATIONS-PCS | 548 | | | | | |
| KE ANA LA'AHANA-PCS | 549 | | | | | |
| HAWAII TECHNOLOGY ACADEMY | 551 | | | | | |
| KIHEI PC SCHOOL-PCS | 554 | | | | | |
| KE KULA NIIHAU KEKAHA-PCS | 556 | | | | | |

Department of Education - Charter Schools
 Division Resources - Listing of Charter Schools And Their ORGIDs

Table 19

| <u>Division</u> | <u>Associated Program IDs</u> | | | | | | |
|------------------------|-------------------------------|--|--|--|--|--|--|
| KUA O KA LA-PCS | 557 | | | | | | |
| VOLCANO SCH OF A&S-PCS | 560 | | | | | | |
| HI ACADEMY OF A&S-PCS | 561 | | | | | | |
| KA 'UMEKE KA'EO-PCS | 562 | | | | | | |
| NAWAHI IKI LAB-PCS | 563 | | | | | | |
| KANUIKAPONO-PCS | 564 | | | | | | |
| KAWAIKINI | 565 | | | | | | |
| KONA PACIFIC | 566 | | | | | | |

Department of Education - Charter Schools
Organization Changes

Table 20

| <u>Year of Change</u> | <u>Page</u> | <u>Description of Change</u> |
|-----------------------|-------------|------------------------------|
| FY13/FY14 | Number | |